

Stock Index Futures Trading by Investor Type

2009/10 week 2 (13-Oct~16-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	90,471	48.25	87,659	46.76	178,130	47.51
city & regional banks	430	0.23	785	0.42	1,215	0.32
trust banks	5,236	2.79	7,864	4.19	13,100	3.49
life/non-life	102	0.05	579	0.31	681	0.18
other financials	0	0.00	101	0.05	101	0.03
investment trusts	2,729	1.46	1,331	0.71	4,060	1.08
business corporations	4	0.00	82	0.04	86	0.02
other corporations	26	0.01	101	0.05	127	0.03
individuals	1,026	0.55	1,073	0.57	2,099	0.56
foreigners	87,466	46.65	87,896	46.89	175,362	46.77
total	187,490	100.00	187,471	100.00	374,961	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	814,371	48.31	786,303	46.65	1,600,675	47.48
city & regional banks	3,881	0.23	7,063	0.42	10,944	0.32
trust banks	47,122	2.80	70,963	4.21	118,085	3.50
life/non-life	918	0.05	5,196	0.31	6,115	0.18
other financials	0	0.00	912	0.05	912	0.03
investment trusts	24,590	1.46	11,964	0.71	36,555	1.08
business corporations	36	0.00	733	0.04	769	0.02
other corporations	234	0.01	904	0.05	1,139	0.03
individuals	9,282	0.55	9,675	0.57	18,957	0.56
foreigners	785,166	46.58	791,723	46.97	1,576,889	46.78
total	1,685,605	100.00	1,685,440	100.00	3,371,045	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/10 week 2 (13-Oct~16-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,434	7.97	4,987	16.34	7,421	12.15
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	11	0.04	15	0.05	26	0.04
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	2	0.01	0	0.00	2	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	2	0.01	4	0.01	6	0.01
individuals	214	0.70	275	0.90	489	0.80
foreigners	27,871	91.28	25,244	82.70	53,115	86.99
total	30,534	100.00	30,525	100.00	61,059	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,198	8.00	4,477	16.30	6,675	12.15
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	9	0.04	13	0.05	23	0.04
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	1	0.01	0	0.00	1	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	1	0.01	3	0.01	5	0.01
individuals	193	0.70	247	0.90	440	0.80
foreigners	25,077	91.25	22,731	82.74	47,808	86.99
total	27,482	100.00	27,474	100.00	54,956	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/10 week 2 (13-Oct~16-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	1	100.00	1	100.00	2	100.00	400	100.00	400	100.00	800	100.00
total	1	100.00	1	100.00	2	100.00	400	100.00	400	100.00	800	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	110	100.00	110	100.00	220	100.00	888,000	100.00	888,000	100.00	1,776,000	100.00
total	110	100.00	110	100.00	220	100.00	888,000	100.00	888,000	100.00	1,776,000	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	8	100.00	8	100.00	16	100.00	3,700	100.00	3,700	100.00	7,400	100.00
total	8	100.00	8	100.00	16	100.00	3,700	100.00	3,700	100.00	7,400	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/10 week 2 (13-Oct~16-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	644	73.77	644	36.88	7,645	78.86	2,359	24.33	10,004	51.60
city & regional banks	0	0.00	0	0.00	0	0.00	730	7.53	0	0.00	730	3.77
trust banks	822	94.16	0	0.00	822	47.08	1,139	11.75	0	0.00	1,139	5.87
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	51	5.84	0	0.00	51	2.92	0	0.00	6,165	63.60	6,165	31.80
foreigners	0	0.00	229	26.23	229	13.12	180	1.86	1,170	12.07	1,350	6.96
total	873	100.00	873	100.00	1,746	100.00	9,694	100.00	9,694	100.00	19,388	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	1,475	73.28	1,475	36.64	25,845	74.03	12,011	34.41	37,856	54.22
city & regional banks	0	0.00	0	0.00	0	0.00	3,650	10.46	0	0.00	3,650	5.23
trust banks	1,835	91.14	0	0.00	1,835	45.57	2,965	8.49	0	0.00	2,965	4.25
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	178	8.86	0	0.00	178	4.43	0	0.00	17,785	50.94	17,785	25.47
foreigners	0	0.00	538	26.72	538	13.36	2,450	7.02	5,114	14.65	7,564	10.83
total	2,013	100.00	2,013	100.00	4,027	100.00	34,910	100.00	34,910	100.00	69,821	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	215	76.68	215	38.34	1,664	71.07	1,468	62.69	3,133	66.88
city & regional banks	0	0.00	0	0.00	0	0.00	474	20.26	0	0.00	474	10.13
trust banks	260	92.74	0	0.00	260	46.37	161	6.90	0	0.00	161	3.45
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	20	7.26	0	0.00	20	3.63	0	0.00	714	30.51	714	15.26
foreigners	0	0.00	65	23.32	65	11.66	41	1.77	159	6.80	200	4.28
total	281	100.00	281	100.00	562	100.00	2,342	100.00	2,342	100.00	4,684	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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