

Stock Index Futures Trading by Investor Type

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

| | sales | ratio | purchases | ratio | total | ratio |
|-----------------------|---------|--------|-----------|--------|---------|--------|
| securities cos. | 100,404 | 51.08 | 92,500 | 47.06 | 192,904 | 49.07 |
| city & regional banks | 275 | 0.14 | 230 | 0.12 | 505 | 0.13 |
| trust banks | 5,682 | 2.89 | 4,725 | 2.40 | 10,407 | 2.65 |
| life/non-life | 329 | 0.17 | 915 | 0.47 | 1,244 | 0.32 |
| other financials | 32 | 0.02 | 10 | 0.01 | 42 | 0.01 |
| investment trusts | 737 | 0.37 | 619 | 0.31 | 1,356 | 0.34 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 43 | 0.02 | 5 | 0.00 | 48 | 0.01 |
| individuals | 951 | 0.48 | 973 | 0.49 | 1,924 | 0.49 |
| foreigners | 88,128 | 44.83 | 96,591 | 49.14 | 184,719 | 46.98 |
| total | 196,581 | 100.00 | 196,568 | 100.00 | 393,149 | 100.00 |

Notional Trading Value

units: million yen; ratio: %

| | sales | ratio | purchases | ratio | total | ratio |
|-----------------------|-----------|--------|-----------|--------|-----------|--------|
| securities cos. | 910,286 | 51.10 | 837,763 | 47.03 | 1,748,050 | 49.07 |
| city & regional banks | 2,493 | 0.14 | 2,097 | 0.12 | 4,591 | 0.13 |
| trust banks | 51,419 | 2.89 | 42,867 | 2.41 | 94,287 | 2.65 |
| life/non-life | 3,001 | 0.17 | 8,325 | 0.47 | 11,326 | 0.32 |
| other financials | 292 | 0.02 | 91 | 0.01 | 383 | 0.01 |
| investment trusts | 6,685 | 0.38 | 5,622 | 0.32 | 12,307 | 0.35 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 390 | 0.02 | 45 | 0.00 | 436 | 0.01 |
| individuals | 8,646 | 0.49 | 8,825 | 0.50 | 17,471 | 0.49 |
| foreigners | 798,114 | 44.80 | 875,594 | 49.16 | 1,673,709 | 46.98 |
| total | 1,781,331 | 100.00 | 1,781,233 | 100.00 | 3,562,564 | 100.00 |

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

| | sales | ratio | purchases | ratio | total | ratio |
|-----------------------|--------|--------|-----------|--------|--------|--------|
| securities cos. | 3,546 | 9.04 | 5,130 | 13.08 | 8,676 | 11.06 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| trust banks | 18 | 0.05 | 8 | 0.02 | 26 | 0.03 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 7 | 0.02 | 7 | 0.02 | 14 | 0.02 |
| individuals | 306 | 0.78 | 343 | 0.87 | 649 | 0.83 |
| foreigners | 35,345 | 90.12 | 33,734 | 86.01 | 69,079 | 88.06 |
| total | 39,222 | 100.00 | 39,222 | 100.00 | 78,444 | 100.00 |

Notional Trading Value

units: million yen; ratio: %

| | sales | ratio | purchases | ratio | total | ratio |
|-----------------------|--------|--------|-----------|--------|--------|--------|
| securities cos. | 3,213 | 9.05 | 4,640 | 13.06 | 7,854 | 11.06 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| trust banks | 16 | 0.05 | 7 | 0.02 | 23 | 0.03 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 6 | 0.02 | 6 | 0.02 | 12 | 0.02 |
| individuals | 277 | 0.78 | 311 | 0.88 | 588 | 0.83 |
| foreigners | 32,009 | 90.11 | 30,558 | 86.02 | 62,568 | 88.07 |
| total | 35,523 | 100.00 | 35,523 | 100.00 | 71,047 | 100.00 |

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

| | put | | | | | | call | | | | | |
|-----------------------|-------|-------|-----------|-------|-------|-------|-------|--------|-----------|--------|-------|--------|
| | sales | ratio | purchases | ratio | total | ratio | sales | ratio | purchases | ratio | total | ratio |
| securities cos. | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| trust banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| individuals | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| foreigners | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 500 | 100.00 | 500 | 100.00 | 1,000 | 100.00 |
| total | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 500 | 100.00 | 500 | 100.00 | 1,000 | 100.00 |

Trading Value

units: 100 yen; ratio: %

| | put | | | | | | call | | | | | |
|-----------------------|-------|-------|-----------|-------|-------|-------|---------|--------|-----------|--------|-----------|--------|
| | sales | ratio | purchases | ratio | total | ratio | sales | ratio | purchases | ratio | total | ratio |
| securities cos. | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| trust banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| individuals | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| foreigners | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 740,000 | 100.00 | 740,000 | 100.00 | 1,480,000 | 100.00 |
| total | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 740,000 | 100.00 | 740,000 | 100.00 | 1,480,000 | 100.00 |

Notional Trading Value

units: million yen; ratio: %

| | put | | | | | | call | | | | | |
|-----------------------|-------|-------|-----------|-------|-------|-------|-------|--------|-----------|--------|-------|--------|
| | sales | ratio | purchases | ratio | total | ratio | sales | ratio | purchases | ratio | total | ratio |
| securities cos. | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| trust banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| individuals | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| foreigners | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 4,750 | 100.00 | 4,750 | 100.00 | 9,500 | 100.00 |
| total | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 4,750 | 100.00 | 4,750 | 100.00 | 9,500 | 100.00 |

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

| | put | | | | | | call | | | | | |
|-----------------------|------------|---------------|------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|---------------|---------------|
| | sales | ratio | purchases | ratio | total | ratio | sales | ratio | purchases | ratio | total | ratio |
| securities cos. | 0 | 0.00 | 330 | 100.00 | 330 | 50.00 | 2,000 | 32.30 | 2,192 | 35.40 | 4,192 | 33.85 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 2,192 | 35.40 | 0 | 0.00 | 2,192 | 17.70 |
| trust banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 500 | 8.07 | 1,500 | 24.22 | 2,000 | 16.15 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| individuals | 330 | 100.00 | 0 | 0.00 | 330 | 50.00 | 0 | 0.00 | 2,000 | 32.30 | 2,000 | 16.15 |
| foreigners | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 1,500 | 24.22 | 500 | 8.07 | 2,000 | 16.15 |
| total | 330 | 100.00 | 330 | 100.00 | 660 | 100.00 | 6,192 | 100.00 | 6,192 | 100.00 | 12,384 | 100.00 |

Trading Value

units: 1,000 yen; ratio: %

| | put | | | | | | call | | | | | |
|-----------------------|--------------|---------------|--------------|---------------|--------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | sales | ratio | purchases | ratio | total | ratio | sales | ratio | purchases | ratio | total | ratio |
| securities cos. | 0 | 0.00 | 4,410 | 100.00 | 4,410 | 50.00 | 750 | 4.43 | 5,893 | 34.78 | 6,643 | 19.60 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 5,893 | 34.78 | 0 | 0.00 | 5,893 | 17.39 |
| trust banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 6,250 | 36.89 | 4,050 | 23.90 | 10,300 | 30.40 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| individuals | 4,410 | 100.00 | 0 | 0.00 | 4,410 | 50.00 | 0 | 0.00 | 750 | 4.43 | 750 | 2.21 |
| foreigners | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 4,050 | 23.90 | 6,250 | 36.89 | 10,300 | 30.40 |
| total | 4,410 | 100.00 | 4,410 | 100.00 | 8,820 | 100.00 | 16,943 | 100.00 | 16,943 | 100.00 | 33,886 | 100.00 |

Notional Trading Value

units: million yen; ratio: %

| | put | | | | | | call | | | | | |
|-----------------------|------------|---------------|------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| | sales | ratio | purchases | ratio | total | ratio | sales | ratio | purchases | ratio | total | ratio |
| securities cos. | 0 | 0.00 | 117 | 100.00 | 117 | 50.00 | 40 | 3.67 | 680 | 62.39 | 720 | 33.03 |
| city & regional banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 680 | 62.39 | 0 | 0.00 | 680 | 31.20 |
| trust banks | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 125 | 11.47 | 245 | 22.47 | 370 | 16.97 |
| life/non-life | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other financials | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| investment trusts | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| business corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| other corporations | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |
| individuals | 117 | 100.00 | 0 | 0.00 | 117 | 50.00 | 0 | 0.00 | 40 | 3.67 | 40 | 1.83 |
| foreigners | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 245 | 22.47 | 125 | 11.47 | 370 | 16.97 |
| total | 117 | 100.00 | 117 | 100.00 | 234 | 100.00 | 1,090 | 100.00 | 1,090 | 100.00 | 2,180 | 100.00 |

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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