

## Stock Index Futures Trading by Investor Type

2009/10 week 4 (26-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	125,379	49.36	123,415	48.57	248,794	48.96
city & regional banks	337	0.13	146	0.06	483	0.10
trust banks	8,418	3.31	10,134	3.99	18,552	3.65
life/non-life	270	0.11	1,267	0.50	1,537	0.30
other financials	54	0.02	20	0.01	74	0.01
investment trusts	936	0.37	1,426	0.56	2,362	0.46
business corporations	10	0.00	10	0.00	20	0.00
other corporations	6	0.00	28	0.01	34	0.01
individuals	986	0.39	957	0.38	1,943	0.38
foreigners	117,635	46.31	116,678	45.92	234,313	46.11
total	254,031	100.00	254,081	100.00	508,112	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,121,111	49.41	1,101,037	48.52	2,222,149	48.97
city & regional banks	3,017	0.13	1,305	0.06	4,323	0.10
trust banks	74,927	3.30	90,257	3.98	165,184	3.64
life/non-life	2,393	0.11	11,195	0.49	13,588	0.30
other financials	479	0.02	179	0.01	658	0.01
investment trusts	8,296	0.37	12,707	0.56	21,003	0.46
business corporations	89	0.00	89	0.00	179	0.00
other corporations	52	0.00	246	0.01	299	0.01
individuals	8,800	0.39	8,540	0.38	17,341	0.38
foreigners	1,049,723	46.27	1,043,785	46.00	2,093,508	46.13
total	2,268,892	100.00	2,269,344	100.00	4,538,237	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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## mini-TOPIX Futures Trading by Investor Type

2009/10 week 4 (26-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	5,401	11.88	6,496	14.29	11,897	13.09
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	2	0.00	2	0.00
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	2	0.00	2	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	15	0.03	13	0.03	28	0.03
individuals	405	0.89	348	0.77	753	0.83
foreigners	39,637	87.19	38,592	84.91	78,229	86.05
total	45,458	100.00	45,453	100.00	90,911	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,861	11.93	5,808	14.25	10,669	13.09
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	1	0.00	1	0.00
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	1	0.00	1	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	13	0.03	11	0.03	25	0.03
individuals	361	0.89	311	0.76	673	0.83
foreigners	35,524	87.15	34,621	84.95	70,146	86.05
total	40,761	100.00	40,757	100.00	81,518	100.00

### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

## Stock Index Options Trading by Investor Type

2009/10 week 4 (26-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	10	100.00	10	100.00	20	100.00	0	0.00	0	0.00	0	0.00
total	10	100.00	10	100.00	20	100.00	0	0.00	0	0.00	0	0.00

### Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	10,550	100.00	10,550	100.00	21,100	100.00	0	0.00	0	0.00	0	0.00
total	10,550	100.00	10,550	100.00	21,100	100.00	0	0.00	0	0.00	0	0.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	86	100.00	86	100.00	172	100.00	0	0.00	0	0.00	0	0.00
total	86	100.00	86	100.00	172	100.00	0	0.00	0	0.00	0	0.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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## Individual Options Trading by Investor Type

2009/10 week 4 (26-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,400	99.86	0	0.00	1,400	49.93	0	0.00	1,834	99.89	1,834	49.95
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	1,400	99.86	1,400	49.93	1,834	99.89	0	0.00	1,834	49.95
foreigners	2	0.14	2	0.14	4	0.14	2	0.11	2	0.11	4	0.11
<b>total</b>	<b>1,402</b>	<b>100.00</b>	<b>1,402</b>	<b>100.00</b>	<b>2,804</b>	<b>100.00</b>	<b>1,836</b>	<b>100.00</b>	<b>1,836</b>	<b>100.00</b>	<b>3,672</b>	<b>100.00</b>

### Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,480	98.48	0	0.00	3,480	49.24	0	0.00	6,835	98.96	6,835	49.48
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	3,480	98.48	3,480	49.24	6,835	98.96	0	0.00	6,835	49.48
foreigners	53	1.52	53	1.52	107	1.52	72	1.04	72	1.04	144	1.04
<b>total</b>	<b>3,533</b>	<b>100.00</b>	<b>3,533</b>	<b>100.00</b>	<b>7,067</b>	<b>100.00</b>	<b>6,907</b>	<b>100.00</b>	<b>6,907</b>	<b>100.00</b>	<b>13,814</b>	<b>100.00</b>

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	238	99.78	0	0.00	238	49.89	0	0.00	342	99.90	342	49.95
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	238	99.78	238	49.89	342	99.90	0	0.00	342	49.95
foreigners	0	0.22	0	0.22	1	0.22	0	0.10	0	0.10	0	0.10
<b>total</b>	<b>238</b>	<b>100.00</b>	<b>238</b>	<b>100.00</b>	<b>477</b>	<b>100.00</b>	<b>342</b>	<b>100.00</b>	<b>342</b>	<b>100.00</b>	<b>685</b>	<b>100.00</b>

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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