

Stock Index Futures Trading by Investor Type

2009/11 week 1 (2-Nov~6-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	71,004	44.34	80,415	50.22	151,419	47.28
city & regional banks	110	0.07	285	0.18	395	0.12
trust banks	6,503	4.06	6,519	4.07	13,022	4.07
life/non-life	457	0.29	165	0.10	622	0.19
other financials	20	0.01	0	0.00	20	0.01
investment trusts	1,653	1.03	643	0.40	2,296	0.72
business corporations	0	0.00	0	0.00	0	0.00
other corporations	3	0.00	50	0.03	53	0.02
individuals	905	0.57	889	0.56	1,794	0.56
foreigners	79,487	49.64	71,168	44.44	150,655	47.04
total	160,142	100.00	160,134	100.00	320,276	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	622,508	44.34	704,849	50.21	1,327,358	47.27
city & regional banks	966	0.07	2,496	0.18	3,462	0.12
trust banks	57,046	4.06	57,175	4.07	114,221	4.07
life/non-life	4,010	0.29	1,447	0.10	5,458	0.19
other financials	175	0.01	0	0.00	175	0.01
investment trusts	14,495	1.03	5,642	0.40	20,137	0.72
business corporations	0	0.00	0	0.00	0	0.00
other corporations	26	0.00	436	0.03	462	0.02
individuals	7,944	0.57	7,776	0.55	15,721	0.56
foreigners	696,743	49.63	624,044	44.45	1,320,787	47.04
total	1,403,917	100.00	1,403,869	100.00	2,807,787	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/11 week 1 (2-Nov~6-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	576	4.76	422	3.49	998	4.13
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	4	0.03	24	0.20	28	0.12
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	2	0.02	0	0.00	2	0.01
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	8	0.07	8	0.03
individuals	242	2.00	223	1.84	465	1.92
foreigners	11,265	93.18	11,411	94.40	22,676	93.79
total	12,089	100.00	12,088	100.00	24,177	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	504	4.76	370	3.49	874	4.13
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	3	0.03	21	0.20	24	0.12
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	1	0.02	0	0.00	1	0.01
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	7	0.07	7	0.03
individuals	212	2.00	195	1.84	407	1.92
foreigners	9,878	93.19	10,005	94.40	19,883	93.79
total	10,600	100.00	10,599	100.00	21,199	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/11 week 1 (2-Nov~6-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/11 week 1 (2-Nov~6-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	600	53.10	20	1.77	620	27.43	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	300	26.55	300	13.27	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	20	1.77	300	26.55	320	14.16	0	0.00	0	0.00	0	0.00
foreigners	510	45.13	510	45.13	1,020	45.13	230	100.00	230	100.00	460	100.00
total	1,130	100.00	1,130	100.00	2,260	100.00	230	100.00	230	100.00	460	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,500	65.92	66	0.97	4,566	33.44	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	4,200	61.53	4,200	30.76	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	66	0.97	300	4.39	366	2.68	0	0.00	0	0.00	0	0.00
foreigners	2,260	33.11	2,260	33.11	4,520	33.11	1,615	100.00	1,615	100.00	3,231	100.00
total	6,826	100.00	6,826	100.00	13,652	100.00	1,615	100.00	1,615	100.00	3,231	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	108	60.30	4	2.68	112	31.49	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	90	50.25	90	25.13	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	4	2.68	18	10.05	22	6.37	0	0.00	0	0.00	0	0.00
foreigners	66	37.02	66	37.02	132	37.02	41	100.00	41	100.00	83	100.00
total	179	100.00	179	100.00	358	100.00	41	100.00	41	100.00	83	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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