

Stock Index Futures Trading by Investor Type

2009/11 week 2 (9–Nov~13–Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	99,162	48.44	104,113	50.89	203,275	49.67
city & regional banks	0	0.00	135	0.07	135	0.03
trust banks	12,072	5.90	4,935	2.41	17,007	4.16
life/non-life	1,234	0.60	1,378	0.67	2,612	0.64
other financials	20	0.01	0	0.00	20	0.00
investment trusts	1,489	0.73	487	0.24	1,976	0.48
business corporations	5	0.00	8	0.00	13	0.00
other corporations	20	0.01	37	0.02	57	0.01
individuals	1,053	0.51	1,055	0.52	2,108	0.52
foreigners	89,640	43.79	92,421	45.18	182,061	44.48
total	204,695	100.00	204,569	100.00	409,264	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	864,553	48.44	907,928	50.91	1,772,481	49.67
city & regional banks	0	0.00	1,170	0.07	1,170	0.03
trust banks	105,314	5.90	42,889	2.40	148,203	4.15
life/non-life	10,799	0.61	11,984	0.67	22,784	0.64
other financials	173	0.01	0	0.00	173	0.00
investment trusts	13,081	0.73	4,244	0.24	17,325	0.49
business corporations	43	0.00	69	0.00	113	0.00
other corporations	174	0.01	323	0.02	498	0.01
individuals	9,188	0.51	9,188	0.52	18,377	0.52
foreigners	781,303	43.78	805,757	45.18	1,587,060	44.48
total	1,784,631	100.00	1,783,556	100.00	3,568,187	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	665	6.32	541	5.14	1,206	5.73
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	14	0.13	2	0.02	16	0.08
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	3	0.03	3	0.01
individuals	352	3.34	414	3.93	766	3.64
foreigners	9,494	90.20	9,570	90.88	19,064	90.54
total	10,525	100.00	10,530	100.00	21,055	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	579	6.32	472	5.15	1,052	5.73
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	12	0.13	1	0.02	13	0.08
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	2	0.03	2	0.01
individuals	306	3.35	361	3.94	668	3.64
foreigners	8,276	90.21	8,341	90.87	16,618	90.54
total	9,175	100.00	9,180	100.00	18,355	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	10	100.00	10	50.00	2,280	37.87	3,481	57.81	5,761	47.84
city & regional banks	0	0.00	0	0.00	0	0.00	1,861	30.91	0	0.00	1,861	15.45
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	10	100.00	0	0.00	10	50.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	1,600	26.57	1,030	17.11	2,630	21.84
foreigners	0	0.00	0	0.00	0	0.00	280	4.65	1,510	25.08	1,790	14.86
total	10	100.00	10	100.00	20	100.00	6,021	100.00	6,021	100.00	12,042	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	20	100.00	20	50.00	3,105	19.58	10,782	67.99	13,887	43.79
city & regional banks	0	0.00	0	0.00	0	0.00	4,322	27.26	0	0.00	4,322	13.63
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	20	100.00	0	0.00	20	50.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	6,410	40.42	730	4.60	7,140	22.51
foreigners	0	0.00	0	0.00	0	0.00	2,021	12.74	4,346	27.40	6,367	20.07
total	20	100.00	20	100.00	40	100.00	15,858	100.00	15,858	100.00	31,717	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	3	100.00	3	50.00	386	32.06	772	64.17	1,158	48.11
city & regional banks	0	0.00	0	0.00	0	0.00	519	43.16	0	0.00	519	21.58
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	3	100.00	0	0.00	3	50.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	252	20.93	111	9.22	363	15.07
foreigners	0	0.00	0	0.00	0	0.00	46	3.85	320	26.61	366	15.23
total	3	100.00	3	100.00	6	100.00	1,204	100.00	1,204	100.00	2,408	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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