

## Stock Index Futures Trading by Investor Type

2010/1 week 1 (4-Jan~8-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	121,235	50.74	110,173	46.11	231,408	48.43
city & regional banks	388	0.16	228	0.10	616	0.13
trust banks	6,840	2.86	8,547	3.58	15,387	3.22
life/non-life	2,156	0.90	726	0.30	2,882	0.60
other financials	10	0.00	10	0.00	20	0.00
investment trusts	648	0.27	1,136	0.48	1,784	0.37
business corporations	67	0.03	1,010	0.42	1,077	0.23
other corporations	119	0.05	10	0.00	129	0.03
individuals	1,358	0.57	1,350	0.57	2,708	0.57
foreigners	106,119	44.41	115,732	48.44	221,851	46.43
total	238,940	100.00	238,922	100.00	477,862	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,120,510	50.62	1,017,267	45.96	2,137,778	48.29
city & regional banks	3,635	0.16	2,123	0.10	5,759	0.13
trust banks	63,559	2.87	79,503	3.59	143,062	3.23
life/non-life	19,953	0.90	6,769	0.31	26,723	0.60
other financials	94	0.00	92	0.00	186	0.00
investment trusts	6,012	0.27	10,537	0.48	16,549	0.37
business corporations	623	0.03	9,421	0.43	10,044	0.23
other corporations	1,109	0.05	92	0.00	1,202	0.03
individuals	12,596	0.57	12,530	0.57	25,126	0.57
foreigners	985,462	44.52	1,075,069	48.57	2,060,531	46.55
total	2,213,557	100.00	2,213,406	100.00	4,426,964	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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## mini-TOPIX Futures Trading by Investor Type

2010/1 week 1 (4-Jan~8-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,961	8.90	1,032	3.10	3,993	6.00
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	4	0.01	10	0.03	14	0.02
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	2	0.01	0	0.00	2	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00
individuals	441	1.33	416	1.25	857	1.29
foreigners	29,843	89.75	31,801	95.62	61,644	92.68
total	33,251	100.00	33,259	100.00	66,510	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,760	8.92	963	3.11	3,723	6.01
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	3	0.01	9	0.03	13	0.02
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	1	0.01	0	0.00	1	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00
individuals	409	1.32	386	1.25	795	1.29
foreigners	27,774	89.74	29,598	95.61	57,372	92.68
total	30,949	100.00	30,957	100.00	61,906	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

# Stock Index Options Trading by Investor Type

2010/1 week 1 (4-Jan~8-Jan)

Tokyo Stock Exchange, Inc.

## Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	535	36.03	600	40.40	1,135	38.22	600	19.48	535	17.37	1,135	18.43
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	950	63.97	885	59.60	1,835	61.78	2,480	80.52	2,545	82.63	5,025	81.57
total	1,485	100.00	1,485	100.00	2,970	100.00	3,080	100.00	3,080	100.00	6,160	100.00

## Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	53,500	6.42	480,000	57.59	533,500	32.00	815,000	15.44	604,550	11.45	1,419,550	13.44
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	780,000	93.58	353,500	42.41	1,133,500	68.00	4,464,290	84.56	4,674,740	88.55	9,139,030	86.56
total	833,500	100.00	833,500	100.00	1,667,000	100.00	5,279,290	100.00	5,279,290	100.00	10,558,580	100.00

## Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,948	36.16	5,500	40.19	10,448	38.17	5,500	19.24	4,948	17.31	10,448	18.28
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	8,737	63.84	8,186	59.81	16,923	61.83	23,081	80.76	23,632	82.69	46,714	81.72
total	13,686	100.00	13,686	100.00	27,372	100.00	28,581	100.00	28,581	100.00	57,163	100.00

## Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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## Individual Options Trading by Investor Type

2010/1 week 1 (4-Jan~8-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,900	33.95	3,656	65.33	5,556	49.64	0	0.00	1,565	98.43	1,565	49.21
city & regional banks	0	0.00	0	0.00	0	0.00	1,400	88.05	0	0.00	1,400	44.03
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	4	0.07	0	0.00	4	0.04	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	3,652	65.26	1,900	33.95	5,552	49.61	165	10.38	0	0.00	165	5.19
foreigners	40	0.71	40	0.71	80	0.71	25	1.57	25	1.57	50	1.57
total	5,596	100.00	5,596	100.00	11,192	100.00	1,590	100.00	1,590	100.00	3,180	100.00

### Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	14,250	33.03	28,341	65.69	42,591	49.36	0	0.00	8,069	98.03	8,069	49.01
city & regional banks	0	0.00	0	0.00	0	0.00	7,560	91.84	0	0.00	7,560	45.92
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	46	0.11	0	0.00	46	0.05	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	28,295	65.58	14,250	33.03	42,545	49.30	509	6.18	0	0.00	509	3.09
foreigners	554	1.28	554	1.28	1,108	1.28	162	1.97	162	1.97	325	1.97
total	43,145	100.00	43,145	100.00	86,290	100.00	8,231	100.00	8,231	100.00	16,463	100.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	220	27.29	575	71.35	795	49.32	0	0.00	668	98.79	668	49.39
city & regional banks	0	0.00	0	0.00	0	0.00	600	88.62	0	0.00	600	44.31
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	3	0.37	0	0.00	3	0.19	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	572	70.98	220	27.29	792	49.13	68	10.17	0	0.00	68	5.09
foreigners	11	1.36	11	1.36	22	1.36	8	1.21	8	1.21	16	1.21
total	806	100.00	806	100.00	1,612	100.00	677	100.00	677	100.00	1,354	100.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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