

## Stock Index Futures Trading by Investor Type

2010/1 week 2 (12-Jan~15-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	96,725	47.07	89,180	43.40	185,905	45.24
city & regional banks	2,530	1.23	2,540	1.24	5,070	1.23
trust banks	8,327	4.05	6,506	3.17	14,833	3.61
life/non-life	2,490	1.21	1,194	0.58	3,684	0.90
other financials	0	0.00	10	0.00	10	0.00
investment trusts	622	0.30	631	0.31	1,253	0.30
business corporations	339	0.16	765	0.37	1,104	0.27
other corporations	164	0.08	10	0.00	174	0.04
individuals	880	0.43	893	0.43	1,773	0.43
foreigners	93,393	45.45	103,737	50.49	197,130	47.97
total	205,470	100.00	205,466	100.00	410,936	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	922,007	47.06	850,452	43.41	1,772,460	45.24
city & regional banks	24,102	1.23	23,978	1.22	48,080	1.23
trust banks	79,252	4.05	62,059	3.17	141,312	3.61
life/non-life	23,799	1.21	11,353	0.58	35,153	0.90
other financials	0	0.00	96	0.00	96	0.00
investment trusts	5,936	0.30	6,011	0.31	11,947	0.30
business corporations	3,212	0.16	7,336	0.37	10,548	0.27
other corporations	1,561	0.08	94	0.00	1,656	0.04
individuals	8,366	0.43	8,525	0.44	16,892	0.43
foreigners	890,789	45.47	989,038	50.49	1,879,827	47.98
total	1,959,028	100.00	1,958,947	100.00	3,917,976	100.00

### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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## mini-TOPIX Futures Trading by Investor Type

2010/1 week 2 (12-Jan~15-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,343	12.28	2,296	6.49	6,639	9.39
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	21	0.06	0	0.00	21	0.03
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00
business corporations	25	0.07	25	0.07	50	0.07
other corporations	0	0.00	0	0.00	0	0.00
individuals	555	1.57	536	1.52	1,091	1.54
foreigners	30,426	86.02	32,505	91.92	62,931	88.97
total	35,370	100.00	35,362	100.00	70,732	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,146	12.30	2,185	6.48	6,331	9.39
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	20	0.06	0	0.00	20	0.03
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00
business corporations	23	0.07	23	0.07	47	0.07
other corporations	0	0.00	0	0.00	0	0.00
individuals	528	1.57	511	1.52	1,039	1.54
foreigners	28,991	86.00	30,983	91.93	59,975	88.96
total	33,711	100.00	33,703	100.00	67,414	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

## Stock Index Options Trading by Investor Type

2010/1 week 2 (12-Jan~15-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	5	0.30	5	0.15	5	1.74	0	0.00	5	0.87
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	5	0.30	0	0.00	5	0.15	0	0.00	5	1.74	5	0.87
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	1,651	99.70	1,651	99.70	3,302	99.70	283	98.26	283	98.26	566	98.26
total	1,656	100.00	1,656	100.00	3,312	100.00	288	100.00	288	100.00	576	100.00

### Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	500	0.01	500	0.01	98,750	9.13	0	0.00	98,750	4.56
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	500	0.01	0	0.00	500	0.01	0	0.00	98,750	9.13	98,750	4.56
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	3,713,040	99.99	3,713,040	99.99	7,426,080	99.99	982,940	90.87	982,940	90.87	1,965,880	90.87
total	3,713,540	100.00	3,713,540	100.00	7,427,080	100.00	1,081,690	100.00	1,081,690	100.00	2,163,380	100.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	37	0.25	37	0.13	37	1.35	0	0.00	37	0.68
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	37	0.25	0	0.00	37	0.13	0	0.00	37	1.35	37	0.68
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	14,857	99.75	14,857	99.75	29,715	99.75	2,733	98.65	2,733	98.65	5,466	98.65
total	14,895	100.00	14,895	100.00	29,790	100.00	2,770	100.00	2,770	100.00	5,541	100.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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## Individual Options Trading by Investor Type

2010/1 week 2 (12-Jan~15-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	24,860	72.44	7,915	23.06	32,775	47.75	1,000	19.44	4,143	80.56	5,143	50.00
city & regional banks	0	0.00	0	0.00	0	0.00	304	5.91	0	0.00	304	2.96
trust banks	1,938	5.65	0	0.00	1,938	2.82	3,829	74.45	0	0.00	3,829	37.23
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	6,080	17.72	24,860	72.44	30,940	45.08	10	0.19	0	0.00	10	0.10
foreigners	1,440	4.20	1,543	4.50	2,983	4.35	0	0.00	1,000	19.44	1,000	9.72
total	34,318	100.00	34,318	100.00	68,636	100.00	5,143	100.00	5,143	100.00	10,286	100.00

### Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	30,740	33.45	58,886	64.08	89,626	48.77	4,500	35.00	8,355	65.00	12,855	50.00
city & regional banks	0	0.00	0	0.00	0	0.00	4,329	33.68	0	0.00	4,329	16.84
trust banks	4,451	4.84	0	0.00	4,451	2.42	3,968	30.87	0	0.00	3,968	15.44
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	54,600	59.42	30,740	33.45	85,340	46.43	57	0.44	0	0.00	57	0.22
foreigners	2,104	2.29	2,269	2.47	4,374	2.38	0	0.00	4,500	35.00	4,500	17.50
total	91,895	100.00	91,895	100.00	183,791	100.00	12,855	100.00	12,855	100.00	25,711	100.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	976	40.42	1,256	52.01	2,232	46.21	90	7.72	1,075	92.28	1,165	50.00
city & regional banks	0	0.00	0	0.00	0	0.00	408	35.04	0	0.00	408	17.52
trust banks	513	21.26	0	0.00	513	10.63	664	56.98	0	0.00	664	28.49
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	776	32.14	976	40.42	1,752	36.28	3	0.26	0	0.00	3	0.13
foreigners	149	6.19	183	7.58	332	6.88	0	0.00	90	7.72	90	3.86
total	2,415	100.00	2,415	100.00	4,831	100.00	1,165	100.00	1,165	100.00	2,330	100.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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