

## Stock Index Futures Trading by Investor Type

2010/2 week 3 (15-Feb~19-Feb)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	81,900	47.84	86,152	50.32	168,052	49.08
city & regional banks	25	0.01	30	0.02	55	0.02
trust banks	5,189	3.03	4,409	2.58	9,598	2.80
life/non-life	106	0.06	141	0.08	247	0.07
other financials	20	0.01	0	0.00	20	0.01
investment trusts	681	0.40	846	0.49	1,527	0.45
business corporations	0	0.00	11	0.01	11	0.00
other corporations	7	0.00	7	0.00	14	0.00
individuals	689	0.40	694	0.41	1,383	0.40
foreigners	82,590	48.24	78,908	46.09	161,498	47.17
total	171,207	100.00	171,198	100.00	342,405	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	732,809	47.80	770,633	50.26	1,503,442	49.03
city & regional banks	221	0.01	270	0.02	492	0.02
trust banks	46,612	3.04	39,641	2.59	86,253	2.81
life/non-life	945	0.06	1,262	0.08	2,208	0.07
other financials	181	0.01	0	0.00	181	0.01
investment trusts	6,088	0.40	7,573	0.49	13,662	0.45
business corporations	0	0.00	99	0.01	99	0.00
other corporations	62	0.00	62	0.00	125	0.00
individuals	6,187	0.40	6,227	0.41	12,415	0.40
foreigners	740,124	48.27	707,401	46.14	1,447,525	47.21
total	1,533,233	100.00	1,533,172	100.00	3,066,406	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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## mini-TOPIX Futures Trading by Investor Type

2010/2 week 3 (15-Feb~19-Feb)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,640	7.60	2,586	11.98	4,226	9.79
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	3	0.01	3	0.01	6	0.01
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	1	0.00	1	0.00	2	0.00
individuals	333	1.54	390	1.81	723	1.68
foreigners	19,595	90.84	18,603	86.19	38,198	88.51
total	21,572	100.00	21,583	100.00	43,155	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,474	7.63	2,312	11.96	3,787	9.80
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	2	0.01	2	0.01	5	0.01
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	1	0.00
individuals	297	1.54	348	1.80	646	1.67
foreigners	17,546	90.81	16,667	86.21	34,213	88.51
total	19,322	100.00	19,332	100.00	38,654	100.00

### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

## Stock Index Options Trading by Investor Type

2010/2 week 3 (15-Feb~19-Feb)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

### Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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## Individual Options Trading by Investor Type

2010/2 week 3 (15-Feb~19-Feb)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	275	30.66	562	62.65	837	46.66	500	22.49	1,663	74.81	2,163	48.65
city & regional banks	0	0.00	0	0.00	0	0.00	1,163	52.32	0	0.00	1,163	26.16
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	562	62.65	275	30.66	837	46.66	500	22.49	500	22.49	1,000	22.49
foreigners	60	6.69	60	6.69	120	6.69	60	2.70	60	2.70	120	2.70
total	897	100.00	897	100.00	1,794	100.00	2,223	100.00	2,223	100.00	4,446	100.00

### Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	11,000	47.02	11,379	48.65	22,379	47.83	2,675	25.24	7,595	71.65	10,270	48.45
city & regional banks	0	0.00	0	0.00	0	0.00	5,045	47.60	0	0.00	5,045	23.80
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	11,379	48.65	11,000	47.02	22,379	47.83	2,550	24.06	2,675	25.24	5,225	24.65
foreigners	1,013	4.33	1,013	4.33	2,026	4.33	329	3.11	329	3.11	659	3.11
total	23,392	100.00	23,392	100.00	46,785	100.00	10,599	100.00	10,599	100.00	21,199	100.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	178	48.52	171	46.65	350	47.58	20	3.57	523	93.23	543	48.40
city & regional banks	0	0.00	0	0.00	0	0.00	503	89.66	0	0.00	503	44.83
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	171	46.65	178	48.52	350	47.58	20	3.57	20	3.57	40	3.57
foreigners	17	4.83	17	4.83	35	4.83	18	3.21	18	3.21	36	3.21
total	368	100.00	368	100.00	736	100.00	561	100.00	561	100.00	1,122	100.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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