

Stock Index Futures Trading by Investor Type

2009/8 (3-Aug~28-Aug)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	347,069	41.20	342,865	40.70	689,934	40.95
city & regional banks	1,664	0.20	1,575	0.19	3,239	0.19
trust banks	25,332	3.01	21,308	2.53	46,640	2.77
life/non-life	4,076	0.48	6,975	0.83	11,051	0.66
other financials	50	0.01	30	0.00	80	0.00
investment trusts	5,468	0.65	4,615	0.55	10,083	0.60
business corporations	249	0.03	3	0.00	252	0.01
other corporations	133	0.02	62	0.01	195	0.01
individuals	4,768	0.57	4,713	0.56	9,481	0.56
foreigners	453,532	53.84	460,226	54.63	913,758	54.24
total	842,341	100.00	842,372	100.00	1,684,713	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,264,687	40.76	3,214,622	40.13	6,479,309	40.44
city & regional banks	16,124	0.20	15,188	0.19	31,313	0.20
trust banks	243,474	3.04	204,337	2.55	447,812	2.80
life/non-life	38,921	0.49	67,219	0.84	106,141	0.66
other financials	481	0.01	289	0.00	771	0.00
investment trusts	52,397	0.65	44,374	0.55	96,772	0.60
business corporations	2,381	0.03	28	0.00	2,409	0.02
other corporations	1,282	0.02	596	0.01	1,879	0.01
individuals	45,868	0.57	45,320	0.57	91,189	0.57
foreigners	4,344,582	54.24	4,418,555	55.16	8,763,137	54.70
total	8,010,203	100.00	8,010,533	100.00	16,020,737	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/8 (3-Aug~28-Aug)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,619	6.71	3,181	5.90	6,800	6.30
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	12	0.02	69	0.13	81	0.08
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	2	0.00	10	0.02	12	0.01
business corporations	0	0.00	0	0.00	0	0.00
other corporations	55	0.10	54	0.10	109	0.10
individuals	816	1.51	798	1.48	1,614	1.50
foreigners	49,438	91.65	49,830	92.38	99,268	92.01
total	53,942	100.00	53,942	100.00	107,884	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,472	6.72	3,052	5.91	6,525	6.31
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	11	0.02	66	0.13	77	0.08
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	1	0.00	9	0.02	11	0.01
business corporations	0	0.00	0	0.00	0	0.00
other corporations	52	0.10	52	0.10	105	0.10
individuals	783	1.52	766	1.48	1,550	1.50
foreigners	47,372	91.64	47,747	92.36	95,120	92.00
total	51,695	100.00	51,694	100.00	103,389	100.00

Notes

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Stock Index Options Trading by Investor Type

2009/8 (3-Aug~28-Aug)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	676	100.00	676	50.00	676	16.36	0	0.00	676	8.18
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	136	20.12	0	0.00	136	10.06	0	0.00	136	3.29	136	1.65
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	540	79.88	0	0.00	540	39.94	0	0.00	540	13.07	540	6.53
foreigners	0	0.00	0	0.00	0	0.00	3,457	83.64	3,457	83.64	6,914	83.64
total	676	100.00	676	100.00	1,352	100.00	4,133	100.00	4,133	100.00	8,266	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	1,612,800	100.00	1,612,800	50.00	3,784,150	28.74	0	0.00	3,784,150	14.37
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	46,800	2.90	0	0.00	46,800	1.45	0	0.00	1,894,150	14.39	1,894,150	7.19
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,566,000	97.10	0	0.00	1,566,000	48.55	0	0.00	1,890,000	14.35	1,890,000	7.18
foreigners	0	0.00	0	0.00	0	0.00	9,382,380	71.26	9,382,380	71.26	18,764,760	71.26
total	1,612,800	100.00	1,612,800	100.00	3,225,600	100.00	13,166,530	100.00	13,166,530	100.00	26,333,060	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	6,266	100.00	6,266	50.00	6,266	13.96	0	0.00	6,266	6.98
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	1,136	18.13	0	0.00	1,136	9.06	0	0.00	1,136	2.53	1,136	1.27
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	5,130	81.87	0	0.00	5,130	40.94	0	0.00	5,130	11.43	5,130	5.71
foreigners	0	0.00	0	0.00	0	0.00	38,630	86.04	38,630	86.04	77,261	86.04
total	6,266	100.00	6,266	100.00	12,532	100.00	44,896	100.00	44,896	100.00	89,793	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/8 (3-Aug~28-Aug)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	21,975	75.92	6,836	23.62	28,811	49.77	1,000	48.40	1,066	51.60	2,066	50.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	616	2.13	0	0.00	616	1.06	1,066	51.60	0	0.00	1,066	25.80
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	3	0.01	0	0.00	3	0.01	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	6,352	21.94	21,975	75.92	28,327	48.93	0	0.00	1,000	48.40	1,000	24.20
foreigners	0	0.00	135	0.47	135	0.23	0	0.00	0	0.00	0	0.00
total	28,946	100.00	28,946	100.00	57,892	100.00	2,066	100.00	2,066	100.00	4,132	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	7,188	7.17	92,716	92.45	99,904	49.81	5,600	80.71	1,338	19.29	6,938	50.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	1,179	1.18	0	0.00	1,179	0.59	1,338	19.29	0	0.00	1,338	9.65
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	141	0.14	0	0.00	141	0.07	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	91,775	91.52	7,188	7.17	98,963	49.34	0	0.00	5,600	80.71	5,600	40.35
foreigners	0	0.00	379	0.38	379	0.19	0	0.00	0	0.00	0	0.00
total	100,284	100.00	100,284	100.00	200,568	100.00	6,938	100.00	6,938	100.00	13,877	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	717	30.18	1,591	66.90	2,308	48.54	75	14.75	433	85.25	508	50.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	275	11.56	0	0.00	275	5.78	433	85.25	0	0.00	433	42.63
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	4	0.18	0	0.00	4	0.09	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,381	58.08	717	30.18	2,099	44.13	0	0.00	75	14.75	75	7.37
foreigners	0	0.00	69	2.92	69	1.46	0	0.00	0	0.00	0	0.00
total	2,378	100.00	2,378	100.00	4,756	100.00	508	100.00	508	100.00	1,016	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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