

Stock Index Futures Trading by Investor Type

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	755,702	38.67	770,070	39.41	1,525,772	39.04
city & regional banks	1,290	0.07	2,178	0.11	3,468	0.09
trust banks	96,564	4.94	117,851	6.03	214,415	5.49
life/non-life	16,538	0.85	19,009	0.97	35,547	0.91
other financials	463	0.02	231	0.01	694	0.02
investment trusts	31,711	1.62	32,879	1.68	64,590	1.65
business corporations	277	0.01	424	0.02	701	0.02
other corporations	171	0.01	380	0.02	551	0.01
individuals	4,515	0.23	4,561	0.23	9,076	0.23
foreigners	1,046,861	53.57	1,006,562	51.51	2,053,423	52.54
total	1,954,092	100.00	1,954,145	100.00	3,908,237	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	6,352,658	36.35	6,388,518	36.55	12,741,177	36.45
city & regional banks	12,055	0.07	20,173	0.12	32,228	0.09
trust banks	904,899	5.18	1,101,306	6.30	2,006,205	5.74
life/non-life	155,185	0.89	177,446	1.02	332,632	0.95
other financials	4,324	0.02	2,176	0.01	6,500	0.02
investment trusts	296,714	1.70	307,757	1.76	604,471	1.73
business corporations	2,577	0.01	3,925	0.02	6,502	0.02
other corporations	1,577	0.01	3,510	0.02	5,087	0.01
individuals	41,992	0.24	42,377	0.24	84,370	0.24
foreigners	9,706,508	55.53	9,431,818	53.96	19,138,327	54.75
total	17,478,493	100.00	17,479,010	100.00	34,957,504	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

Copyright 1996-2009 Tokyo Stock Exchange, Inc. All rights reserved.

mini-TOPIX Futures Trading by Investor Type

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,670	7.29	4,389	6.85	9,059	7.07
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	288	0.45	153	0.24	441	0.34
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	102	0.16	116	0.18	218	0.17
business corporations	0	0.00	0	0.00	0	0.00
other corporations	5	0.01	2	0.00	7	0.01
individuals	1,177	1.84	1,117	1.74	2,294	1.79
foreigners	57,776	90.25	58,250	90.98	116,026	90.61
total	64,018	100.00	64,027	100.00	128,045	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,375	7.33	4,089	6.85	8,465	7.09
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	275	0.46	142	0.24	417	0.35
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	95	0.16	108	0.18	203	0.17
business corporations	0	0.00	0	0.00	0	0.00
other corporations	4	0.01	1	0.00	6	0.01
individuals	1,097	1.84	1,039	1.74	2,136	1.79
foreigners	53,812	90.20	54,286	90.98	108,099	90.59
total	59,660	100.00	59,668	100.00	119,328	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,660	63.18	2,630	62.47	5,290	62.83	2,630	58.97	2,660	59.64	5,290	59.30
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	1,010	23.99	1,040	24.70	2,050	24.35	1,040	23.32	1,010	22.65	2,050	22.98
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	540	12.83	540	12.83	1,080	12.83	540	12.11	540	12.11	1,080	12.11
foreigners	0	0.00	0	0.00	0	0.00	250	5.61	250	5.61	500	5.61
total	4,210	100.00	4,210	100.00	8,420	100.00	4,460	100.00	4,460	100.00	8,920	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	5,677,650	65.03	5,564,000	63.73	11,241,650	64.38	16,814,300	63.08	12,404,000	46.53	29,218,300	54.81
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	677,000	7.75	3,031,650	34.72	3,708,650	21.24	8,910,200	33.43	11,376,500	42.68	20,286,700	38.05
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	2,376,000	27.21	135,000	1.55	2,511,000	14.38	513,000	1.92	2,457,000	9.22	2,970,000	5.57
foreigners	0	0.00	0	0.00	0	0.00	417,950	1.57	417,950	1.57	835,900	1.57
total	8,730,650	100.00	8,730,650	100.00	17,461,300	100.00	26,655,450	100.00	26,655,450	100.00	53,310,900	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	24,545	64.41	23,820	62.51	48,365	63.46	23,820	58.66	24,545	60.45	48,365	59.56
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	8,430	22.12	9,155	24.03	17,585	23.07	9,155	22.55	8,430	20.76	17,585	21.65
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	5,130	13.46	5,130	13.46	10,260	13.46	5,130	12.63	5,130	12.63	10,260	12.63
foreigners	0	0.00	0	0.00	0	0.00	2,500	6.16	2,500	6.16	5,000	6.16
total	38,105	100.00	38,105	100.00	76,210	100.00	40,605	100.00	40,605	100.00	81,210	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Copyright 1996-2009 Tokyo Stock Exchange, Inc. All rights reserved.

Individual Options Trading by Investor Type

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	29,450	49.03	30,610	50.97	60,060	50.00	1,560	55.61	1,185	42.25	2,745	48.93
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	1,216	2.02	0	0.00	1,216	1.01	715	25.49	120	4.28	835	14.88
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	29,394	48.94	29,450	49.03	58,844	48.99	530	18.89	1,500	53.48	2,030	36.19
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	60,060	100.00	60,060	100.00	120,120	100.00	2,805	100.00	2,805	100.00	5,610	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	112,137	42.63	150,896	57.37	263,034	50.00	11,130	59.79	7,185	38.60	18,315	49.19
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	5,510	2.09	0	0.00	5,510	1.05	1,575	8.46	1,980	10.64	3,555	9.55
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	145,386	55.27	112,137	42.63	257,524	48.95	5,910	31.75	9,450	50.77	15,360	41.26
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	263,034	100.00	263,034	100.00	526,068	100.00	18,615	100.00	18,615	100.00	37,230	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,672	42.67	2,246	57.33	3,919	50.00	214	25.58	522	62.26	736	43.92
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	425	10.86	0	0.00	425	5.43	448	53.43	204	24.33	652	38.88
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,821	46.47	1,672	42.67	3,493	44.57	176	20.99	112	13.42	288	17.20
foreigners	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
total	3,919	100.00	3,919	100.00	7,838	100.00	838	100.00	838	100.00	1,677	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

Copyright 1996-2009 Tokyo Stock Exchange, Inc. All rights reserved.