

Stock Index Futures Trading by Investor Type

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	424,984	48.92	406,902	46.84	831,886	47.88
city & regional banks	2,002	0.23	1,716	0.20	3,718	0.21
trust banks	25,387	2.92	37,587	4.33	62,974	3.62
life/non-life	1,183	0.14	3,430	0.39	4,613	0.27
other financials	86	0.01	161	0.02	247	0.01
investment trusts	5,500	0.63	5,681	0.65	11,181	0.64
business corporations	14	0.00	92	0.01	106	0.01
other corporations	168	0.02	168	0.02	336	0.02
individuals	4,014	0.46	4,061	0.47	8,075	0.46
foreigners	405,339	46.66	408,922	47.07	814,261	46.87
total	868,677	100.00	868,720	100.00	1,737,397	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,716,219	48.39	3,631,710	47.29	7,347,930	47.84
city & regional banks	17,805	0.23	15,401	0.20	33,206	0.22
trust banks	226,604	2.95	335,182	4.36	561,787	3.66
life/non-life	10,521	0.14	30,573	0.40	41,094	0.27
other financials	772	0.01	1,446	0.02	2,218	0.01
investment trusts	49,159	0.64	50,606	0.66	99,766	0.65
business corporations	125	0.00	822	0.01	948	0.01
other corporations	1,501	0.02	1,491	0.02	2,993	0.02
individuals	35,970	0.47	36,375	0.47	72,346	0.47
foreigners	3,620,293	47.15	3,575,733	46.56	7,196,026	46.85
total	7,678,974	100.00	7,679,344	100.00	15,358,318	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	13,678	10.09	19,277	14.22	32,955	12.15
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	46	0.03	38	0.03	84	0.03
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	2	0.00	2	0.00	4	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	28	0.02	27	0.02	55	0.02
individuals	1,231	0.91	1,264	0.93	2,495	0.92
foreigners	120,615	88.95	114,978	84.80	235,593	86.88
total	135,600	100.00	135,586	100.00	271,186	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	12,300	10.11	17,275	14.20	29,576	12.15
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	41	0.03	34	0.03	75	0.03
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	1	0.00	1	0.00	3	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	25	0.02	24	0.02	49	0.02
individuals	1,101	0.91	1,132	0.93	2,233	0.92
foreigners	108,208	88.93	103,197	84.82	211,405	86.88
total	121,678	100.00	121,666	100.00	243,344	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	11	100.00	11	100.00	22	100.00	900	100.00	900	100.00	1,800	100.00
total	11	100.00	11	100.00	22	100.00	900	100.00	900	100.00	1,800	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	10,660	100.00	10,660	100.00	21,320	100.00	1,628,000	100.00	1,628,000	100.00	3,256,000	100.00
total	10,660	100.00	10,660	100.00	21,320	100.00	1,628,000	100.00	1,628,000	100.00	3,256,000	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	94	100.00	94	100.00	188	100.00	8,450	100.00	8,450	100.00	16,900	100.00
total	94	100.00	94	100.00	188	100.00	8,450	100.00	8,450	100.00	16,900	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,400	48.49	1,256	43.51	2,656	46.00	15,661	42.42	19,473	52.75	35,134	47.59
city & regional banks	0	0.00	0	0.00	0	0.00	2,922	7.92	0	0.00	2,922	3.96
trust banks	822	28.47	0	0.00	822	14.24	1,639	4.44	1,500	4.06	3,139	4.25
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	663	22.97	1,400	48.49	2,063	35.73	14,341	38.85	14,115	38.24	28,456	38.54
foreigners	2	0.07	231	8.00	233	4.04	2,352	6.37	1,827	4.95	4,179	5.66
total	2,887	100.00	2,887	100.00	5,774	100.00	36,915	100.00	36,915	100.00	73,830	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,480	33.60	6,283	60.68	9,763	47.14	37,304	36.47	56,699	55.44	94,004	45.96
city & regional banks	0	0.00	0	0.00	0	0.00	9,543	9.33	0	0.00	9,543	4.67
trust banks	1,835	17.72	0	0.00	1,835	8.86	9,215	9.01	4,050	3.96	13,265	6.48
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	4,986	48.15	3,480	33.60	8,466	40.88	34,956	34.18	28,828	28.19	63,785	31.18
foreigners	53	0.52	591	5.71	645	3.12	11,259	11.01	12,700	12.42	23,959	11.71
total	10,355	100.00	10,355	100.00	20,711	100.00	102,278	100.00	102,278	100.00	204,556	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	238	34.56	384	55.85	622	45.20	2,040	35.36	4,126	71.50	6,166	53.43
city & regional banks	0	0.00	0	0.00	0	0.00	1,154	20.01	0	0.00	1,154	10.00
trust banks	260	37.85	0	0.00	260	18.92	286	4.97	245	4.25	531	4.61
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	189	27.51	238	34.56	427	31.04	1,768	30.65	1,081	18.75	2,850	24.70
foreigners	0	0.08	66	9.59	66	4.83	520	9.02	318	5.51	838	7.26
total	688	100.00	688	100.00	1,377	100.00	5,771	100.00	5,771	100.00	11,542	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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