

Stock Index Futures Trading by Investor Type

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	382,683	45.48	413,302	49.12	795,985	47.30
city & regional banks	4,512	0.54	3,280	0.39	7,792	0.46
trust banks	40,662	4.83	29,726	3.53	70,388	4.18
life/non-life	4,398	0.52	4,810	0.57	9,208	0.55
other financials	140	0.02	10	0.00	150	0.01
investment trusts	6,468	0.77	5,611	0.67	12,079	0.72
business corporations	40	0.00	45	0.01	85	0.01
other corporations	33	0.00	175	0.02	208	0.01
individuals	3,653	0.43	3,699	0.44	7,352	0.44
foreigners	398,835	47.40	380,705	45.25	779,540	46.32
total	841,424	100.00	841,363	100.00	1,682,787	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,266,127	45.48	3,527,194	49.12	6,793,321	47.30
city & regional banks	37,786	0.53	27,487	0.38	65,273	0.45
trust banks	347,312	4.84	253,551	3.53	600,864	4.18
life/non-life	37,030	0.52	40,583	0.57	77,614	0.54
other financials	1,191	0.02	85	0.00	1,276	0.01
investment trusts	55,171	0.77	47,260	0.66	102,432	0.71
business corporations	342	0.00	384	0.01	727	0.01
other corporations	284	0.00	1,499	0.02	1,783	0.01
individuals	31,407	0.44	31,734	0.44	63,141	0.44
foreigners	3,404,120	47.41	3,250,590	45.27	6,654,710	46.34
total	7,180,774	100.00	7,180,371	100.00	14,361,146	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,014	5.65	7,287	10.25	11,301	7.95
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	33	0.05	40	0.06	73	0.05
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	2	0.00	0	0.00	2	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	11	0.02	11	0.01
individuals	1,290	1.81	1,286	1.81	2,576	1.81
foreigners	65,747	92.49	62,466	87.87	128,213	90.18
total	71,086	100.00	71,090	100.00	142,176	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	3,404	5.64	6,122	10.15	9,526	7.89
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	28	0.05	34	0.06	62	0.05
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	1	0.00	0	0.00	1	0.00
business corporations	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	9	0.02	9	0.01
individuals	1,101	1.83	1,102	1.83	2,203	1.83
foreigners	55,800	92.48	53,071	87.95	108,871	90.22
total	60,336	100.00	60,340	100.00	120,676	100.00

Notes

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Stock Index Options Trading by Investor Type

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	10	0.66	0	0.00	10	0.33	0	0.00	10	0.55	10	0.27
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	10	0.66	10	0.33	10	0.55	0	0.00	10	0.27
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	1,516	99.34	1,516	99.34	3,032	99.34	1,809	99.45	1,809	99.45	3,618	99.45
total	1,526	100.00	1,526	100.00	3,052	100.00	1,819	100.00	1,819	100.00	3,638	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,500	0.07	0	0.00	1,500	0.03	0	0.00	88,000	4.75	88,000	2.38
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	1,500	0.07	1,500	0.03	88,000	4.75	0	0.00	88,000	2.38
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	2,228,440	99.93	2,228,440	99.93	4,456,880	99.93	1,764,620	95.25	1,764,620	95.25	3,529,240	95.25
total	2,229,940	100.00	2,229,940	100.00	4,459,880	100.00	1,852,620	100.00	1,852,620	100.00	3,705,240	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	75	0.62	0	0.00	75	0.31	0	0.00	75	0.45	75	0.23
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	0	0.00	75	0.62	75	0.31	75	0.45	0	0.00	75	0.23
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	12,068	99.38	12,068	99.38	24,136	99.38	16,446	99.55	16,446	99.55	32,892	99.55
total	12,143	100.00	12,143	100.00	24,286	100.00	16,521	100.00	16,521	100.00	33,042	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	33,380	84.68	4,281	10.86	37,661	47.77	3,400	9.20	26,464	71.57	29,864	40.39
city & regional banks	0	0.00	0	0.00	0	0.00	3,486	9.43	0	0.00	3,486	4.71
trust banks	151	0.38	300	0.76	451	0.57	48	0.13	0	0.00	48	0.06
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	10	0.03	0	0.00	10	0.01	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	3,820	9.69	33,080	83.92	36,900	46.81	3,900	10.55	1,030	2.79	4,930	6.67
foreigners	2,056	5.22	1,756	4.45	3,812	4.84	26,140	70.70	9,480	25.64	35,620	48.17
total	39,417	100.00	39,417	100.00	78,834	100.00	36,974	100.00	36,974	100.00	73,948	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	341,560	62.21	119,672	21.80	461,232	42.00	10,775	5.80	153,088	82.38	163,863	44.09
city & regional banks	0	0.00	0	0.00	0	0.00	8,132	4.38	0	0.00	8,132	2.19
trust banks	336	0.06	4,200	0.76	4,536	0.41	168	0.09	0	0.00	168	0.05
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	20	0.00	0	0.00	20	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	75,066	13.67	337,360	61.44	412,426	37.56	33,210	17.87	730	0.39	33,940	9.13
foreigners	132,095	24.06	87,845	16.00	219,940	20.03	133,539	71.86	32,006	17.22	165,546	44.54
total	549,077	100.00	549,077	100.00	1,098,154	100.00	185,825	100.00	185,825	100.00	371,651	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,014	18.81	1,825	33.84	2,839	26.33	795	12.79	4,909	78.96	5,704	45.88
city & regional banks	0	0.00	0	0.00	0	0.00	895	14.41	0	0.00	895	7.20
trust banks	63	1.18	90	1.67	153	1.42	38	0.62	0	0.00	38	0.31
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	3	0.06	0	0.00	3	0.03	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,098	20.37	924	17.14	2,023	18.76	1,032	16.60	111	1.79	1,143	9.19
foreigners	3,213	59.59	2,553	47.35	5,767	53.47	3,455	55.58	1,197	19.26	4,653	37.42
total	5,393	100.00	5,393	100.00	10,786	100.00	6,217	100.00	6,217	100.00	12,434	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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