

Stock Index Futures Trading by Investor Type

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	857,582	40.68	819,949	38.90	1,677,531	39.79
city & regional banks	3,963	0.19	4,996	0.24	8,959	0.21
trust banks	145,796	6.92	129,778	6.16	275,574	6.54
life/non-life	17,893	0.85	14,457	0.69	32,350	0.77
other financials	356	0.02	526	0.02	882	0.02
investment trusts	34,258	1.63	34,339	1.63	68,597	1.63
business corporations	513	0.02	405	0.02	918	0.02
other corporations	430	0.02	394	0.02	824	0.02
individuals	5,703	0.27	5,712	0.27	11,415	0.27
foreigners	1,041,546	49.41	1,097,506	52.06	2,139,052	50.74
total	2,108,040	100.00	2,108,062	100.00	4,216,102	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	7,092,329	39.00	6,759,631	37.17	13,851,961	38.08
city & regional banks	35,120	0.19	43,270	0.24	78,391	0.22
trust banks	1,296,687	7.13	1,155,421	6.35	2,452,109	6.74
life/non-life	160,061	0.88	128,938	0.71	289,000	0.79
other financials	3,185	0.02	4,710	0.03	7,896	0.02
investment trusts	304,784	1.68	305,659	1.68	610,444	1.68
business corporations	4,513	0.02	3,614	0.02	8,127	0.02
other corporations	3,750	0.02	3,539	0.02	7,290	0.02
individuals	50,205	0.28	50,429	0.28	100,635	0.28
foreigners	9,236,788	50.79	9,732,336	53.51	18,969,125	52.15
total	18,187,428	100.00	18,187,554	100.00	36,374,982	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	16,182	12.07	13,469	10.05	29,651	11.06
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	196	0.15	161	0.12	357	0.13
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	98	0.07	97	0.07	195	0.07
business corporations	0	0.00	0	0.00	0	0.00
other corporations	4	0.00	5	0.00	9	0.00
individuals	1,853	1.38	1,915	1.43	3,768	1.41
foreigners	115,708	86.32	118,384	88.33	234,092	87.32
total	134,041	100.00	134,031	100.00	268,072	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	14,404	12.16	12,039	10.16	26,444	11.16
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	174	0.15	143	0.12	317	0.13
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	86	0.07	86	0.07	172	0.07
business corporations	0	0.00	0	0.00	0	0.00
other corporations	3	0.00	4	0.00	7	0.00
individuals	1,641	1.38	1,699	1.43	3,340	1.41
foreigners	102,197	86.24	104,525	88.21	206,722	87.22
total	118,507	100.00	118,498	100.00	237,005	100.00

Notes

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- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Stock Index Options Trading by Investor Type

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,860	59.31	2,845	59.00	5,705	59.16	2,845	36.84	2,860	37.04	5,705	36.94
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	1,025	21.26	1,040	21.57	2,065	21.41	1,040	13.47	1,025	13.27	2,065	13.37
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	540	11.20	540	11.20	1,080	11.20	540	6.99	540	6.99	1,080	6.99
foreigners	397	8.23	397	8.23	794	8.23	3,297	42.70	3,297	42.70	6,594	42.70
total	4,822	100.00	4,822	100.00	9,644	100.00	7,722	100.00	7,722	100.00	15,444	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	18,586,800	65.01	18,804,350	65.78	37,391,150	65.40	6,384,400	36.25	6,133,150	34.82	12,517,550	35.54
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	4,549,350	15.91	5,174,200	18.10	9,723,550	17.01	5,012,350	28.46	4,459,000	25.32	9,471,350	26.89
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	5,005,800	17.51	4,163,400	14.56	9,169,200	16.04	5,400	0.03	810,000	4.60	815,400	2.31
foreigners	446,700	1.56	446,700	1.56	893,400	1.56	6,209,870	35.26	6,209,870	35.26	12,419,740	35.26
total	28,588,650	100.00	28,588,650	100.00	57,177,300	100.00	17,612,020	100.00	17,612,020	100.00	35,224,040	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	26,437	60.27	26,302	59.96	52,740	60.11	26,302	37.19	26,437	37.38	52,740	37.29
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	9,112	20.77	9,247	21.08	18,360	20.93	9,247	13.08	9,112	12.89	18,360	12.98
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	5,130	11.69	5,130	11.69	10,260	11.69	5,130	7.25	5,130	7.25	10,260	7.25
foreigners	3,187	7.27	3,187	7.27	6,374	7.27	30,040	42.48	30,040	42.48	60,080	42.48
total	43,867	100.00	43,867	100.00	87,734	100.00	70,720	100.00	70,720	100.00	141,440	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	41,029	35.41	73,346	63.30	114,375	49.35	5,712	31.63	11,122	61.59	16,834	46.61
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	2,365	2.04	200	0.17	2,565	1.11	3,042	16.84	33	0.18	3,075	8.51
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	71,092	61.35	41,029	35.41	112,121	48.38	6,054	33.52	4,082	22.60	10,136	28.06
foreigners	1,391	1.20	1,302	1.12	2,693	1.16	3,251	18.00	2,822	15.63	6,073	16.81
total	115,877	100.00	115,877	100.00	231,754	100.00	18,059	100.00	18,059	100.00	36,118	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	608,988	42.89	754,745	53.16	1,363,733	48.02	86,930	54.69	39,085	24.59	126,015	39.64
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	3,545	0.25	5,100	0.36	8,645	0.30	2,791	1.76	2,161	1.36	4,952	1.56
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	750,596	52.86	608,988	42.89	1,359,584	47.88	899	0.57	63,700	40.07	64,599	20.32
foreigners	56,730	4.00	51,026	3.59	107,757	3.79	68,332	42.99	54,006	33.98	122,339	38.48
total	1,419,860	100.00	1,419,860	100.00	2,839,720	100.00	158,953	100.00	158,953	100.00	317,907	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	6,328	41.22	7,859	51.19	14,188	46.21	1,741	34.66	2,013	40.07	3,754	37.37
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	546	3.56	165	1.07	711	2.32	774	15.42	18	0.36	792	7.89
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	7,347	47.86	6,328	41.22	13,676	44.54	371	7.39	1,057	21.05	1,429	14.22
foreigners	1,129	7.36	999	6.51	2,129	6.94	2,136	42.53	1,934	38.51	4,071	40.52
total	15,352	100.00	15,352	100.00	30,705	100.00	5,023	100.00	5,023	100.00	10,047	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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