

## Stock Index Futures Trading by Investor Type

2010/1 (4-Jan~29-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	464,810	46.70	467,386	46.96	932,196	46.83
city & regional banks	3,818	0.38	3,593	0.36	7,411	0.37
trust banks	44,435	4.46	33,046	3.32	77,481	3.89
life/non-life	6,638	0.67	2,083	0.21	8,721	0.44
other financials	112	0.01	60	0.01	172	0.01
investment trusts	4,661	0.47	4,453	0.45	9,114	0.46
business corporations	431	0.04	1,811	0.18	2,242	0.11
other corporations	304	0.03	70	0.01	374	0.02
individuals	4,351	0.44	4,189	0.42	8,540	0.43
foreigners	465,678	46.79	478,562	48.08	944,240	47.44
total	995,238	100.00	995,253	100.00	1,990,491	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,345,412	46.69	4,364,085	46.89	8,709,498	46.79
city & regional banks	36,101	0.39	33,759	0.36	69,861	0.38
trust banks	413,900	4.45	309,078	3.32	722,978	3.88
life/non-life	61,956	0.67	19,648	0.21	81,604	0.44
other financials	1,053	0.01	562	0.01	1,615	0.01
investment trusts	43,259	0.46	41,497	0.45	84,757	0.46
business corporations	4,070	0.04	17,095	0.18	21,166	0.11
other corporations	2,863	0.03	657	0.01	3,520	0.02
individuals	40,587	0.44	39,162	0.42	79,749	0.43
foreigners	4,357,950	46.82	4,481,776	48.15	8,839,727	47.49
total	9,307,155	100.00	9,307,323	100.00	18,614,479	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

Copyright 1996-2010 Tokyo Stock Exchange, Inc. All rights reserved.

## mini-TOPIX Futures Trading by Investor Type

2010/1 (4-Jan~29-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	11,999	8.73	14,249	10.37	26,248	9.55
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	51	0.04	57	0.04	108	0.04
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	4	0.00	3	0.00	7	0.00
business corporations	25	0.02	25	0.02	50	0.02
other corporations	0	0.00	0	0.00	0	0.00
individuals	2,012	1.46	1,941	1.41	3,953	1.44
foreigners	123,296	89.74	121,108	88.15	244,404	88.95
total	137,387	100.00	137,383	100.00	274,770	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	11,329	8.79	13,323	10.34	24,653	9.56
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	47	0.04	53	0.04	101	0.04
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	3	0.00	2	0.00	6	0.00
business corporations	23	0.02	23	0.02	47	0.02
other corporations	0	0.00	0	0.00	0	0.00
individuals	1,888	1.47	1,823	1.41	3,711	1.44
foreigners	115,616	89.69	113,679	88.19	229,295	88.94
total	128,910	100.00	128,906	100.00	257,816	100.00

### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

# Stock Index Options Trading by Investor Type

2010/1 (4-Jan~29-Jan)

Tokyo Stock Exchange, Inc.

## Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	535	15.11	605	17.09	1,140	16.10	605	17.62	535	15.58	1,140	16.60
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	5	0.14	0	0.00	5	0.07	0	0.00	5	0.15	5	0.07
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	3,001	84.75	2,936	82.91	5,937	83.83	2,828	82.38	2,893	84.27	5,721	83.32
total	3,541	100.00	3,541	100.00	7,082	100.00	3,433	100.00	3,433	100.00	6,866	100.00

## Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	53,500	0.83	480,500	7.43	534,000	4.13	913,750	14.17	604,550	9.38	1,518,300	11.78
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	500	0.01	0	0.00	500	0.00	0	0.00	98,750	1.53	98,750	0.77
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	6,413,040	99.16	5,986,540	92.57	12,399,580	95.87	5,532,980	85.83	5,743,430	89.09	11,276,410	87.46
total	6,467,040	100.00	6,467,040	100.00	12,934,080	100.00	6,446,730	100.00	6,446,730	100.00	12,893,460	100.00

## Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,948	15.38	5,537	17.21	10,486	16.29	5,537	17.29	4,948	15.45	10,486	16.37
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	37	0.12	0	0.00	37	0.06	0	0.00	37	0.12	37	0.06
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	27,195	84.51	26,643	82.79	53,838	83.65	26,492	82.71	27,043	84.43	53,535	83.57
total	32,181	100.00	32,181	100.00	64,362	100.00	32,029	100.00	32,029	100.00	64,059	100.00

## Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

Copyright 1996-2010 Tokyo Stock Exchange, Inc. All rights reserved.

## Individual Options Trading by Investor Type

2010/1 (4-Jan~29-Jan)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	28,905	61.90	15,151	32.45	44,056	47.17	1,770	19.65	6,978	77.46	8,748	48.56
city & regional banks	0	0.00	0	0.00	0	0.00	2,974	33.02	0	0.00	2,974	16.51
trust banks	2,185	4.68	0	0.00	2,185	2.34	3,829	42.51	0	0.00	3,829	21.25
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	4	0.01	0	0.00	4	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	13,312	28.51	28,905	61.90	42,217	45.20	175	1.94	250	2.78	425	2.36
foreigners	2,290	4.90	2,640	5.65	4,930	5.28	260	2.89	1,780	19.76	2,040	11.32
total	46,696	100.00	46,696	100.00	93,392	100.00	9,008	100.00	9,008	100.00	18,016	100.00

### Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	57,330	29.19	123,975	63.12	181,305	46.15	31,875	57.00	20,742	37.09	52,617	47.05
city & regional banks	0	0.00	0	0.00	0	0.00	16,207	28.98	0	0.00	16,207	14.49
trust banks	6,752	3.44	0	0.00	6,752	1.72	3,968	7.10	0	0.00	3,968	3.55
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	46	0.02	0	0.00	46	0.01	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	119,643	60.91	57,330	29.19	176,973	45.05	566	1.01	10,000	17.88	10,566	9.45
foreigners	12,642	6.44	15,108	7.69	27,751	7.06	3,300	5.90	25,175	45.02	28,475	25.46
total	196,414	100.00	196,414	100.00	392,828	100.00	55,917	100.00	55,917	100.00	111,835	100.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,845	38.26	2,368	49.13	4,213	43.69	553	20.06	2,099	76.18	2,652	48.12
city & regional banks	0	0.00	0	0.00	0	0.00	1,364	49.48	0	0.00	1,364	24.74
trust banks	645	13.39	0	0.00	645	6.69	664	24.09	0	0.00	664	12.04
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	3	0.06	0	0.00	3	0.03	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,885	39.11	1,845	38.26	3,730	38.69	71	2.61	70	2.54	141	2.57
foreigners	442	9.18	608	12.61	1,050	10.89	103	3.76	586	21.28	690	12.52
total	4,821	100.00	4,821	100.00	9,643	100.00	2,756	100.00	2,756	100.00	5,513	100.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

Copyright 1996-2010 Tokyo Stock Exchange, Inc. All rights reserved.