Stock Index Futures Trading by Investor Type

2007 (4-Jan~28-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	7,076,233	42.68	7,123,822	42.97	14,200,055	42.83
city & regional banks	46,200	0.28	44,400	0.27	90,600	0.27
trust banks	564,322	3.40	580,079	3.50	1,144,401	3.45
life/non-life	67,805	0.41	67,697	0.41	135,502	0.41
other financials	5,782	0.03	5,562	0.03	11,344	0.03
investment trusts	202,630	1.22	208,339	1.26	410,969	1.24
business corporations	24,723	0.15	24,808	0.15	49,531	0.15
other corporations	1,383	0.01	1,392	0.01	2,775	0.01
individuals	63,387	0.38	63,311	0.38	126,698	0.38
foreigners	8,525,903	51.43	8,458,928	51.02	16,984,831	51.23
total	16,578,368	100.00	16,578,338	100.00	33,156,706	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	117,027,502	42.82	117,795,718	43.10	234,823,221	42.96
city & regional banks	775,861	0.28	757,631	0.28	1,533,493	0.28
trust banks	9,296,967	3.40	9,558,693	3.50	18,855,660	3.45
life/non-life	1,118,896	0.41	1,107,362	0.41	2,226,259	0.41
other financials	95,546	0.03	93,182	0.03	188,728	0.03
investment trusts	3,359,757	1.23	3,460,104	1.27	6,819,861	1.25
business corporations	409,206	0.15	411,232	0.15	820,439	0.15
other corporations	23,142	0.01	23,279	0.01	46,421	0.01
individuals	1,053,045	0.39	1,054,807	0.39	2,107,853	0.39
foreigners	140,122,085	51.27	139,019,506	50.87	279,141,592	51.07
total	273,282,011	100.00	273,281,519	100.00	546,563,531	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

3) Totals for TOPIX futures & TSE sector index futures.

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Stock Index Options Trading by Investor Type

2007 (4-Jan~28-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	4,114	45.18	4,227	46.42	8,341	45.80	4,227	40.45	4,114	39.37	8,341	39.91
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	4,227	46.42	4,114	45.18	8,341	45.80	4,114	39.37	4,227	40.45	8,341	39.91
life/non-life	665	7.30	100	1.10	765	4.20	125	1.20	875	8.37	1,000	4.79
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	100	1.10	665	7.30	765	4.20	1,983	18.98	1,233	11.80	3,216	15.39
total	9,106	100.00	9,106	100.00	18,212	100.00	10,449	100.00	10,449	100.00	20,898	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	14,047,400	43.62	16,437,830	51.04	30,485,230	47.33	44,341,650	51.30	38,862,000	44.96	83,203,650	48.13
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	16,437,830	51.04	14,047,400	43.62	30,485,230	47.33	38,862,000	44.96	44,341,650	51.30	83,203,650	48.13
life/non-life	1,380,000	4.29	340,000	1.06	1,720,000	2.67	315,000	0.36	1,396,250	1.62	1,711,250	0.99
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	340,000	1.06	1,380,000	4.29	1,720,000	2.67	2,923,000	3.38	1,841,750	2.13	4,764,750	2.76
total	32,205,230	100.00	32,205,230	100.00	64,410,460	100.00	86,441,650	100.00	86,441,650	100.00	172,883,300	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	64,490	45.45	66,310	46.74	130,800	46.10	66,310	39.38	64,490	38.30	130,800	38.84
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	66,310	46.74	64,490	45.45	130,800	46.10	64,490	38.30	66,310	39.38	130,800	38.84
life/non-life	9,603	6.77	1,475	1.04	11,078	3.90	2,081	1.24	14,737	8.75	16,818	4.99
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	1,475	1.04	9,603	6.77	11,078	3.90	35,523	21.09	22,866	13.58	58,389	17.34
total	141,879	100.00	141,879	100.00	283,759	100.00	168,405	100.00	168,405	100.00	336,810	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Equity Options Trading by Investor Type

2007 (4-Jan~28-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	13,637	16.07	63,635	75.00	77,272	45.54	10,046	16.58	46,595	76.88	56,641	46.73
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	63,088	74.36	10,427	12.29	73,515	43.32	27,070	44.66	1,760	2.90	28,830	23.78
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	160	0.19	0	0.00	160	0.09	202	0.33	0	0.00	202	0.17
investment trusts	740	0.87	0	0.00	740	0.44	0	0.00	0	0.00	0	0.00
business corporations	1,482	1.75	400	0.47	1,882	1.11	291	0.48	20	0.03	311	0.26
other corporations	0	0.00	0	0.00	0	0.00	2,200	3.63	0	0.00	2,200	1.81
individuals	4,356	5.13	900	1.06	5,256	3.10	7,811	12.89	3,326	5.49	11,137	9.19
foreigners	1,379	1.63	9,480	11.17	10,859	6.40	12,987	21.43	8,906	14.69	21,893	18.06
total	84,842	100.00	84,842	100.00	169,684	100.00	60,607	100.00	60,607	100.00	121,214	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	269,413	31.93	527,929	62.57	797,342	47.25	99,935	22.02	316,659	69.76	416,594	45.89
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	465,610	55.18	236,428	28.02	702,038	41.60	94,025	20.71	21,567	4.75	115,592	12.73
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	3,699	0.44	0	0.00	3,699	0.22	4,105	0.90	0	0.00	4,105	0.45
investment trusts	14,957	1.77	0	0.00	14,957	0.89	0	0.00	0	0.00	0	0.00
business corporations	33,373	3.96	6,000	0.71	39,373	2.33	8,454	1.86	2,620	0.58	11,074	1.22
other corporations	0	0.00	0	0.00	0	0.00	19,950	4.39	0	0.00	19,950	2.20
individuals	38,836	4.60	26,555	3.15	65,391	3.87	71,548	15.76	15,550	3.43	87,098	9.59
foreigners	17,886	2.12	46,862	5.55	64,749	3.84	155,922	34.35	97,543	21.49	253,465	27.92
total	843,775	100.00	843,775	100.00	1,687,550	100.00	453,939	100.00	453,939	100.00	907,878	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	8,034	17.34	33,854	73.06	41,888	45.20	3,962	12.74	23,322	74.97	27,285	43.85
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	31,885	68.81	5,190	11.20	37,075	40.01	16,489	53.00	1,367	4.40	17,856	28.70
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	140	0.30	0	0.00	140	0.15	135	0.44	0	0.00	135	0.22
investment trusts	408	0.88	0	0.00	408	0.44	0	0.00	0	0.00	0	0.00
business corporations	1,689	3.65	980	2.11	2,669	2.88	289	0.93	44	0.14	333	0.54
other corporations	0	0.00	0	0.00	0	0.00	1,680	5.40	0	0.00	1,680	2.70
individuals	3,346	7.22	1,550	3.35	4,896	5.28	4,820	15.50	2,110	6.78	6,930	11.14
foreigners	833	1.80	4,763	10.28	5,596	6.04	3,731	11.99	4,264	13.71	7,996	12.85
total	46,337	100.00	46,337	100.00	92,675	100.00	31,108	100.00	31,108	100.00	62,217	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 &1 million yen) so totals of sales & purchases may differ from overall totals.

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