

Stock Index Futures Trading by Investor Type

2008 (4-Jan~30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	7,792,007	42.01	7,858,933	42.37	15,650,940	42.19
city & regional banks	65,109	0.35	63,601	0.34	128,710	0.35
trust banks	837,950	4.52	893,204	4.82	1,731,154	4.67
life/non-life	160,177	0.86	147,846	0.80	308,023	0.83
other financials	3,184	0.02	3,578	0.02	6,762	0.02
investment trusts	222,736	1.20	227,088	1.22	449,824	1.21
business corporations	10,016	0.05	7,884	0.04	17,900	0.05
other corporations	3,646	0.02	3,728	0.02	7,374	0.02
individuals	55,642	0.30	55,166	0.30	110,808	0.30
foreigners	9,397,679	50.67	9,287,131	50.07	18,684,810	50.37
total	18,548,146	100.00	18,548,159	100.00	37,096,305	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	90,277,575	41.96	90,874,357	42.24	181,151,932	42.10
city & regional banks	768,663	0.36	751,794	0.35	1,520,457	0.35
trust banks	9,387,109	4.36	9,873,468	4.59	19,260,577	4.48
life/non-life	1,635,122	0.76	1,537,793	0.71	3,172,916	0.74
other financials	37,295	0.02	41,258	0.02	78,553	0.02
investment trusts	2,580,575	1.20	2,624,234	1.22	5,204,809	1.21
business corporations	109,441	0.05	82,863	0.04	192,304	0.04
other corporations	42,351	0.02	42,401	0.02	84,752	0.02
individuals	637,471	0.30	637,782	0.30	1,275,253	0.30
foreigners	109,687,234	50.98	108,697,208	50.52	218,384,443	50.75
total	215,162,839	100.00	215,163,161	100.00	430,326,001	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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mini-TOPIX Futures Trading by Investor Type

2008 (4-Jan~30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	107,087	20.16	95,330	17.95	202,417	19.05
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	155	0.03	190	0.04	345	0.03
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	302	0.06	188	0.04	490	0.05
business corporations	31	0.01	21	0.00	52	0.00
other corporations	220	0.04	220	0.04	440	0.04
individuals	48,232	9.08	48,200	9.07	96,432	9.08
foreigners	375,194	70.63	387,072	72.86	762,266	71.75
total	531,221	100.00	531,221	100.00	1,062,442	100.00

Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	131,740	20.38	116,236	17.98	247,976	19.18
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	149	0.02	191	0.03	341	0.03
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	313	0.05	182	0.03	496	0.04
business corporations	36	0.01	24	0.00	61	0.00
other corporations	261	0.04	261	0.04	522	0.04
individuals	58,439	9.04	58,407	9.04	116,847	9.04
foreigners	455,504	70.46	471,142	72.88	926,646	71.67
total	646,446	100.00	646,446	100.00	1,292,893	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
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Stock Index Options Trading by Investor Type

2008 (4-Jan-30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	9,105	28.19	9,080	28.12	18,185	28.16	9,081	30.52	9,336	31.38	18,417	30.95
city & regional banks	0	0.00	0	0.00	0	0.00	21	0.07	0	0.00	21	0.04
trust banks	6,059	18.76	6,094	18.87	12,153	18.82	6,094	20.48	6,059	20.37	12,153	20.42
life/non-life	136	0.42	186	0.58	322	0.50	0	0.00	450	1.51	450	0.76
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	16,993	52.62	16,933	52.44	33,926	52.53	14,556	48.92	13,907	46.74	28,463	47.83
total	32,293	100.00	32,293	100.00	64,586	100.00	29,752	100.00	29,752	100.00	59,504	100.00

Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	50,078,160	11.32	82,904,310	18.75	132,982,470	15.04	33,607,300	25.70	68,947,140	52.73	102,554,440	39.22
city & regional banks	0	0.00	0	0.00	0	0.00	73,500	0.06	0	0.00	73,500	0.03
trust banks	76,882,360	17.39	44,073,710	9.97	120,956,070	13.68	64,233,340	49.13	29,016,740	22.19	93,250,080	35.66
life/non-life	369,900	0.08	1,704,600	0.39	2,074,500	0.23	0	0.00	809,000	0.62	809,000	0.31
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	314,878,420	71.21	313,526,220	70.90	628,404,640	71.05	32,839,110	25.12	31,980,370	24.46	64,819,480	24.79
total	442,208,840	100.00	442,208,840	100.00	884,417,680	100.00	130,753,250	100.00	130,753,250	100.00	261,506,500	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	107,766	27.69	113,411	29.14	221,178	28.42	113,389	28.31	111,000	27.71	224,389	28.01
city & regional banks	0	0.00	0	0.00	0	0.00	294	0.07	0	0.00	294	0.04
trust banks	71,170	18.29	65,652	16.87	136,823	17.58	65,652	16.39	71,170	17.77	136,823	17.08
life/non-life	1,643	0.42	2,468	0.63	4,111	0.53	0	0.00	6,675	1.67	6,675	0.83
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
foreigners	208,550	53.59	207,598	53.35	416,149	53.47	221,225	55.23	211,715	52.85	432,940	54.04
total	389,130	100.00	389,130	100.00	778,261	100.00	400,561	100.00	400,561	100.00	801,122	100.00

Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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Individual Options Trading by Investor Type

2008 (4-Jan-30-Dec)

Tokyo Stock Exchange, Inc.

Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	2,786	4.35	54,189	84.63	56,975	44.49	1,389	5.73	22,588	93.24	23,977	49.49
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	18,642	29.11	4,497	7.02	23,139	18.07	13,743	56.73	68	0.28	13,811	28.50
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	150	0.62	150	0.31
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	40	0.06	0	0.00	40	0.03	276	1.14	0	0.00	276	0.57
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	13,315	20.79	200	0.31	13,515	10.55	8,672	35.80	409	1.69	9,081	18.74
foreigners	29,247	45.68	5,144	8.03	34,391	26.86	146	0.60	1,011	4.17	1,157	2.39
total	64,030	100.00	64,030	100.00	128,060	100.00	24,226	100.00	24,226	100.00	48,452	100.00

Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	29,504	9.47	265,411	85.14	294,915	47.30	20,089	18.37	92,867	84.91	112,957	51.64
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	78,641	25.23	21,581	6.92	100,223	16.08	53,496	48.91	39	0.04	53,535	24.47
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	75	0.07	75	0.03
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	360	0.12	0	0.00	360	0.06	1,279	1.17	0	0.00	1,279	0.59
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	112,105	35.96	750	0.24	112,855	18.10	31,316	28.63	2,837	2.59	34,153	15.61
foreigners	91,108	29.23	23,976	7.69	115,084	18.46	3,190	2.92	13,553	12.39	16,743	7.65
total	311,719	100.00	311,719	100.00	623,438	100.00	109,372	100.00	109,372	100.00	218,745	100.00

Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	734	6.63	9,220	83.27	9,954	44.95	795	7.45	9,988	93.54	10,784	50.50
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	6,321	57.09	673	6.08	6,994	31.59	5,916	55.41	53	0.50	5,970	27.96
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	27	0.25	27	0.13
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	24	0.23	0	0.00	24	0.11	81	0.76	0	0.00	81	0.38
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,401	12.66	48	0.43	1,449	6.55	3,768	35.29	148	1.39	3,917	18.34
foreigners	2,590	23.40	1,130	10.21	3,721	16.80	115	1.08	460	4.31	575	2.70
total	11,072	100.00	11,072	100.00	22,145	100.00	10,678	100.00	10,678	100.00	21,356	100.00

Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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