

## Stock Index Futures Trading by Investor Type

2009 (5-Jan~30-Dec)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	6,329,515	40.89	6,310,233	40.77	12,639,748	40.83
city & regional banks	25,783	0.17	27,068	0.17	52,851	0.17
trust banks	915,903	5.92	880,189	5.69	1,796,092	5.80
life/non-life	147,845	0.96	155,803	1.01	303,648	0.98
other financials	1,772	0.01	1,769	0.01	3,541	0.01
investment trusts	197,686	1.28	192,238	1.24	389,924	1.26
business corporations	1,251	0.01	1,163	0.01	2,414	0.01
other corporations	1,734	0.01	1,964	0.01	3,698	0.01
individuals	46,986	0.30	47,204	0.30	94,190	0.30
foreigners	7,810,094	50.46	7,860,875	50.79	15,670,969	50.62
total	15,478,569	100.00	15,478,506	100.00	30,957,075	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	52,656,490	40.26	52,482,049	40.12	105,138,539	40.19
city & regional banks	219,384	0.17	229,384	0.18	448,768	0.17
trust banks	7,783,379	5.95	7,466,272	5.71	15,249,652	5.83
life/non-life	1,252,460	0.96	1,316,748	1.01	2,569,209	0.98
other financials	15,697	0.01	15,680	0.01	31,377	0.01
investment trusts	1,700,354	1.30	1,653,519	1.26	3,353,874	1.28
business corporations	11,221	0.01	10,364	0.01	21,586	0.01
other corporations	15,032	0.01	17,110	0.01	32,142	0.01
individuals	415,039	0.32	416,732	0.32	831,771	0.32
foreigners	66,736,740	51.02	67,197,546	51.37	133,934,286	51.20
total	130,805,801	100.00	130,805,408	100.00	261,611,209	100.00

#### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.
- 3) Totals for TOPIX futures, TSE sector index futures & TOPIX Core30 Index futures.

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## mini-TOPIX Futures Trading by Investor Type

2009 (5-Jan~30-Dec)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	62,169	9.13	71,180	10.46	133,349	9.80
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	802	0.12	865	0.13	1,667	0.12
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	464	0.07	501	0.07	965	0.07
business corporations	0	0.00	0	0.00	0	0.00
other corporations	184	0.03	197	0.03	381	0.03
individuals	13,940	2.05	14,415	2.12	28,355	2.08
foreigners	603,018	88.60	593,542	87.20	1,196,560	87.90
total	680,577	100.00	680,700	100.00	1,361,277	100.00

### Notional Trading Value

units: million yen; ratio: %

	sales	ratio	purchases	ratio	total	ratio
securities cos.	54,717	9.01	61,988	10.20	116,705	9.61
city & regional banks	0	0.00	0	0.00	0	0.00
trust banks	727	0.12	776	0.13	1,503	0.12
life/non-life	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00
investment trusts	395	0.07	428	0.07	823	0.07
business corporations	0	0.00	0	0.00	0	0.00
other corporations	166	0.03	178	0.03	344	0.03
individuals	12,226	2.01	12,625	2.08	24,852	2.05
foreigners	539,211	88.77	531,556	87.49	1,070,768	88.13
total	607,444	100.00	607,553	100.00	1,214,998	100.00

### Notes

- 1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.
- 2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

# Stock Index Options Trading by Investor Type

2009 (5-Jan~30-Dec)

Tokyo Stock Exchange, Inc.

## Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	8,760	50.86	8,890	51.61	17,650	51.24	12,484	35.37	11,814	33.47	24,298	34.42
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	4,910	28.51	4,710	27.35	9,620	27.93	4,710	13.34	4,910	13.91	9,620	13.63
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,620	9.41	1,080	6.27	2,700	7.84	1,080	3.06	1,620	4.59	2,700	3.82
foreigners	1,934	11.23	2,544	14.77	4,478	13.00	17,025	48.23	16,955	48.03	33,980	48.13
total	17,224	100.00	17,224	100.00	34,448	100.00	35,299	100.00	35,299	100.00	70,598	100.00

## Trading Value

units: 100 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	37,435,520	59.36	34,693,450	55.01	72,128,970	57.18	65,014,830	44.64	54,496,060	37.42	119,510,890	41.03
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	13,985,450	22.18	19,590,920	31.06	33,576,370	26.62	36,310,310	24.93	45,262,230	31.08	81,572,540	28.00
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	8,947,800	14.19	4,298,400	6.82	13,246,200	10.50	518,400	0.36	5,157,000	3.54	5,675,400	1.95
foreigners	2,697,800	4.28	4,483,800	7.11	7,181,600	5.69	43,806,790	30.08	40,735,040	27.97	84,541,830	29.02
total	63,066,570	100.00	63,066,570	100.00	126,133,140	100.00	145,650,330	100.00	145,650,330	100.00	291,300,660	100.00

## Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	75,655	51.90	77,029	52.84	152,685	52.37	114,091	34.19	108,132	32.40	222,224	33.30
city & regional banks	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
trust banks	39,319	26.97	38,648	26.51	77,967	26.74	38,648	11.58	39,319	11.78	77,967	11.68
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	15,390	10.56	10,260	7.04	25,650	8.80	10,260	3.07	15,390	4.61	25,650	3.84
foreigners	15,416	10.58	19,844	13.61	35,261	12.09	170,703	51.15	170,861	51.20	341,564	51.18
total	145,782	100.00	145,782	100.00	291,564	100.00	333,703	100.00	333,703	100.00	667,406	100.00

## Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Notional trading value figures discard incomplete units of 1 million yen so totals of sales & purchases may differ from overall totals.

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## Individual Options Trading by Investor Type

2009 (5-Jan~30-Dec)

Tokyo Stock Exchange, Inc.

### Trading Volume

units: units; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	178,215	38.56	277,857	60.13	456,072	49.35	60,843	30.32	127,378	63.47	188,221	46.89
city & regional banks	5	0.00	0	0.00	5	0.00	6,408	3.19	0	0.00	6,408	1.60
trust banks	14,135	3.06	500	0.11	14,635	1.58	18,323	9.13	1,653	0.82	19,976	4.98
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	10	0.00	10	0.00	20	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	33	0.01	0	0.00	33	0.00	6	0.00	0	0.00	6	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	252,262	54.59	169,909	36.77	422,171	45.68	80,722	40.22	55,227	27.52	135,949	33.87
foreigners	17,468	3.78	13,852	3.00	31,320	3.39	34,383	17.13	16,427	8.19	50,810	12.66
total	462,118	100.00	462,118	100.00	924,236	100.00	200,695	100.00	200,695	100.00	401,390	100.00

### Trading Value

units: 1,000 yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	1,447,861	41.94	1,833,499	53.11	3,281,360	47.53	335,974	39.23	436,994	51.02	772,968	45.12
city & regional banks	375	0.01	0	0.00	375	0.01	17,675	2.06	0	0.00	17,675	1.03
trust banks	38,868	1.13	9,300	0.27	48,168	0.70	33,692	3.93	8,191	0.96	41,883	2.45
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	10	0.00	10	0.00	20	0.00
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	436	0.01	0	0.00	436	0.01	18	0.00	0	0.00	18	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	1,635,841	47.39	1,426,004	41.31	3,061,845	44.35	223,840	26.13	292,533	34.15	516,373	30.14
foreigners	328,639	9.52	183,217	5.31	511,857	7.41	245,285	28.64	118,767	13.87	364,053	21.25
total	3,452,021	100.00	3,452,021	100.00	6,904,042	100.00	856,496	100.00	856,496	100.00	1,712,992	100.00

### Notional Trading Value

units: million yen; ratio: %

	put						call					
	sales	ratio	purchases	ratio	total	ratio	sales	ratio	purchases	ratio	total	ratio
securities cos.	13,367	28.92	28,460	61.57	41,828	45.25	6,372	23.33	18,771	68.72	25,143	46.03
city & regional banks	12	0.03	0	0.00	12	0.01	2,050	7.51	0	0.00	2,050	3.75
trust banks	3,552	7.69	255	0.55	3,807	4.12	4,644	17.00	467	1.71	5,111	9.36
life/non-life	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
other financials	0	0.00	0	0.00	0	0.00	3	0.01	3	0.01	6	0.01
investment trusts	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
business corporations	15	0.03	0	0.00	15	0.02	2	0.01	0	0.00	2	0.00
other corporations	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
individuals	19,700	42.62	12,714	27.51	32,414	35.06	7,286	26.68	3,940	14.42	11,226	20.55
foreigners	9,575	20.72	4,792	10.37	14,368	15.54	6,955	25.47	4,133	15.13	11,089	20.30
total	46,223	100.00	46,223	100.00	92,446	100.00	27,315	100.00	27,315	100.00	54,630	100.00

### Notes

1) Survey results of Trading Participant proprietary trading, and of brokerage trading by securities and financial institutions (excluding regional banks) with capital of at least 3 billion yen.

2) Trading value & notional trading value figures discard incomplete units (of 1,000 & 1 million yen) so totals of sales & purchases may differ from overall totals.

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