

Trading Volume by Investor Type TSE 1st section

All 57 cos.

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/13~	(100.0)	(100.0)	(26.1)	(26.1)	(73.9)	(73.9)
10/16	7,387,250	7,382,575	1,928,192	1,927,214	5,459,058	5,455,361
balance	-4,675		-978		-3,697	
total	14,769,825	(100.0)	3,855,406	(26.1)	10,914,419	(73.9)
10/19~	(100.0)	(100.0)	(26.3)	(26.7)	(73.7)	(73.3)
10/23	8,553,607	8,542,994	2,247,142	2,278,833	6,306,465	6,264,161
balance	-10,613			31,691	-42,304	
total	17,096,601	(100.0)	4,525,975	(26.5)	12,570,626	(73.5)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(14.0)	(12.1)	(34.1)	(35.3)	(47.2)	(47.7)	(4.7)	(4.9)
10/16	765,556	661,518	1,860,897	1,924,621	2,577,474	2,604,584	255,131	264,638
balance	-104,038			63,724		27,110		9,507
total	1,427,074	(13.1)	3,785,518	(34.7)	5,182,058	(47.5)	519,769	(4.8)
10/19~	(14.1)	(11.1)	(35.8)	(36.2)	(44.9)	(47.3)	(5.2)	(5.4)
10/23	888,646	693,317	2,257,622	2,268,682	2,830,671	2,963,264	329,526	338,898
balance	-195,329			11,060		132,593		9,372
total	1,581,963	(12.6)	4,526,304	(36.0)	5,793,935	(46.1)	668,424	(5.3)

Total trading volume	20,288,954
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	84.3%	22.3%	62.0%

Individual & proprietary

Transaction	sales	purchases
individual	993,981	923,808
cash	44.0%	40.7%
individual	1,263,641	1,344,874
margin	56.0%	59.3%
proprietary		
cash	1,978,843	2,271,709
proprietary		
margin	268,299	7,124

Foreign

	sales	purchases
institutions	2,801,846	2,934,931
individuals	28,825	28,333

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(2.4)	(2.5)	(2.1)	(1.4)	(0.4)	(0.4)	(9.2)	(7.9)
10/16	129,136	136,746	113,353	75,514	20,785	20,246	502,282	429,012
balance		7,610		-37,839		-539		-73,270
total	265,882	(2.4)	188,867	(1.7)	41,031	(0.4)	931,294	(8.5)
10/19~	(2.8)	(1.9)	(1.8)	(1.6)	(0.2)	(0.6)	(9.3)	(7.0)
10/23	178,370	121,683	113,587	101,268	11,601	34,745	585,088	435,621
balance	-56,687			-12,319		23,144		-149,467
total	300,053	(2.4)	214,855	(1.7)	46,346	(0.4)	1,020,709	(8.1)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(0.7)	(0.4)	(0.2)	(0.1)	(8.3)	(7.3)	(0.1)	(0.1)
10/16	35,554	21,299	9,210	3,945	451,142	398,209	6,376	5,559
balance	-14,255			-5,265		-52,933		-817
total	56,853	(0.5)	13,155	(0.1)	849,351	(7.8)	11,935	(0.1)
10/19~	(0.4)	(0.4)	(0.2)	(0.1)	(8.3)	(6.4)	(0.3)	(0.1)
10/23	28,126	24,756	14,196	3,406	526,288	403,806	16,478	3,653
balance	-3,370			-10,790		-122,482		-12,825
total	52,882	(0.4)	17,602	(0.1)	930,094	(7.4)	20,131	(0.2)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE 2nd section

All 57 cos.

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/13~	(100.0)	(100.0)	(2.7)	(2.1)	(97.3)	(97.9)
10/16	82,614	82,657	2,221	1,709	80,393	80,948
balance		43	-512			555
total	165,271	(100.0)	3,930	(2.4)	161,341	(97.6)
10/19~	(100.0)	(100.0)	(1.9)	(2.1)	(98.1)	(97.9)
10/23	101,266	101,326	1,917	2,147	99,349	99,179
balance		60		230	-170	
total	202,592	(100.0)	4,064	(2.0)	198,528	(98.0)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(8.0)	(7.1)	(70.9)	(76.3)	(11.7)	(7.0)	(9.4)	(9.6)
10/16	6,443	5,783	56,974	61,729	9,435	5,641	7,541	7,795
balance	-660			4,755	-3,794			254
total	12,226	(7.6)	118,703	(73.6)	15,076	(9.3)	15,336	(9.5)
10/19~	(5.0)	(9.2)	(74.9)	(74.4)	(9.9)	(5.4)	(10.2)	(10.9)
10/23	5,010	9,154	74,423	73,790	9,821	5,378	10,095	10,857
balance		4,144	-633		-4,443			762
total	14,164	(7.1)	148,213	(74.7)	15,199	(7.7)	20,952	(10.6)

Total trading volume	219,860
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Ratio of proprietary brokerage trading
All 57 cos.

	total	proprietary	brokerage
	92.1%	1.8%	90.3%

Individual & proprietary

Transaction	sales	purchases
individual	57,637	54,360
cash	77.4%	73.7%
individual	16,786	19,430
margin	22.6%	26.3%
proprietary		
cash	1,848	2,147
proprietary		
margin	69	0

Foreign

	sales	purchases
institutions	9,179	4,971
individuals	642	407

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(0.5)	(0.7)	(6.2)	(3.8)	(0.2)	(1.6)	(1.2)	(1.0)
10/16	367	567	4,954	3,096	149	1,278	973	842
balance		200	-1,858			1,129	-131	
total	934	(0.6)	8,050	(5.0)	1,427	(0.9)	1,815	(1.1)
10/19~	(0.3)	(0.5)	(3.8)	(4.5)	(0.1)	(3.2)	(0.8)	(1.1)
10/23	339	460	3,761	4,502	87	3,137	823	1,055
balance		121		741		3,050		232
total	799	(0.4)	8,263	(4.2)	3,224	(1.6)	1,878	(0.9)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(0.0)	(0.0)	(0.1)	(0.0)	(1.1)	(1.0)	(0.0)	(0.0)
10/16	32	27	71	14	867	801	3	0
balance		-5		-57		-66		-3
total	59	(0.0)	85	(0.1)	1,668	(1.0)	3	(0.0)
10/19~	(0.0)	(0.0)	(0.1)	(0.0)	(0.7)	(1.0)	(0.0)	(0.0)
10/23	20	27	90	9	705	1,012	8	7
balance		7		-81		307		-1
total	47	(0.0)	99	(0.0)	1,717	(0.9)	15	(0.0)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Volume by Investor Type TSE Mothers

All 57 cos.

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/13~	(100.0)	(100.0)	(2.5)	(2.5)	(97.5)	(97.5)
10/16	8,150	8,171	201	205	7,949	7,966
balance		21		4		17
total	16,321	(100.0)	406	(2.5)	15,915	(97.5)
10/19~	(100.0)	(100.0)	(3.1)	(2.7)	(96.9)	(97.3)
10/23	7,094	7,062	222	188	6,872	6,874
balance	-32		-34			2
total	14,156	(100.0)	410	(2.9)	13,746	(97.1)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(2.4)	(3.6)	(73.4)	(74.0)	(16.7)	(15.3)	(7.5)	(7.2)
10/16	194	284	5,835	5,891	1,327	1,218	593	573
balance		90		56		-109		-20
total	478	(3.0)	11,726	(73.7)	2,545	(16.0)	1,166	(7.3)
10/19~	(2.6)	(3.1)	(75.7)	(75.6)	(13.6)	(13.4)	(8.2)	(7.9)
10/23	176	212	5,200	5,199	935	919	561	544
balance		36		-1		-16		-17
total	388	(2.8)	10,399	(75.7)	1,854	(13.5)	1,105	(8.0)

Total trading volume	14,900
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	95.0%	2.8%	92.3%

Individual & proprietary

Transaction	sales	purchases
individual	4,210	4,181
cash	81.0%	80.4%
individual	990	1,018
margin	19.0%	19.6%
proprietary		
cash	218	188
proprietary		
margin	4	0

Foreign

	sales	purchases
institutions	817	889
individuals	118	30

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(0.5)	(0.6)	(0.5)	(0.3)	(0.0)	(0.1)	(1.4)	(2.7)
10/16	43	45	37	21	3	6	111	212
balance		2		-16		3		101
total	88	(0.6)	58	(0.4)	9	(0.1)	323	(2.0)
10/19~	(0.5)	(1.0)	(0.8)	(0.7)	(0.1)	(0.2)	(1.2)	(1.2)
10/23	37	66	52	51	4	12	83	83
balance		29		-1		8		0
total	103	(0.7)	103	(0.7)	16	(0.1)	166	(1.2)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(0.0)	(0.0)	(0.0)	(0.0)	(1.4)	(2.6)	(0.0)	(0.0)
10/16	0	1	0	0	111	208	0	3
balance		1		0		97		3
total	1	(0.0)	0	(0.0)	319	(2.0)	3	(0.0)
10/19~	(0.0)	(0.0)	(0.0)	(0.0)	(1.2)	(1.1)	(0.0)	(0.1)
10/23	0	0	0	0	83	78	0	5
balance		0		0		-5		5
total	0	(0.0)	0	(0.0)	161	(1.2)	5	(0.0)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Volume by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/10 week 3 (19-Oct~23-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/13~	(100.0)	(100.0)	(25.5)	(25.5)	(74.5)	(74.5)
10/16	7,620,890	7,617,230	1,943,060	1,942,825	5,677,830	5,674,405
balance	-3,660		-235		-3,425	
total	15,238,120	(100.0)	3,885,885	(25.5)	11,352,235	(74.5)
10/19~	(100.0)	(100.0)	(25.7)	(26.1)	(74.3)	(73.9)
10/23	8,806,704	8,802,934	2,264,014	2,296,265	6,542,690	6,506,669
balance	-3,770			32,251	-36,021	
total	17,609,638	(100.0)	4,560,279	(25.9)	13,049,359	(74.1)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(13.8)	(11.9)	(35.3)	(36.6)	(46.0)	(46.5)	(4.9)	(5.1)
10/16	782,157	675,928	2,002,523	2,074,221	2,614,190	2,636,087	278,960	288,169
balance	-106,229			71,698		21,897		9,209
total	1,458,085	(12.8)	4,076,744	(35.9)	5,250,277	(46.2)	567,129	(5.0)
10/19~	(13.8)	(11.0)	(36.8)	(37.3)	(43.8)	(46.0)	(5.6)	(5.7)
10/23	904,834	713,338	2,406,853	2,424,851	2,867,569	2,994,938	363,434	373,542
balance	-191,496			17,998		127,369		10,108
total	1,618,172	(12.4)	4,831,704	(37.0)	5,862,507	(44.9)	736,976	(5.6)

Total trading volume	20,841,583
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Ratio of proprietary brokerage trading
All 58 cos.

	total	proprietary	brokerage
	84.5%	21.9%	62.6%

Individual & proprietary

Transaction	sales	purchases
individual	1,111,758	1,040,641
cash	46.2%	42.9%
individual	1,295,095	1,384,210
margin	53.8%	57.1%
proprietary		
cash	1,993,486	2,289,119
proprietary		
margin	270,528	7,146

Foreign

	sales	purchases
institutions	2,837,615	2,964,744
individuals	29,954	30,194

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(2.3)	(2.5)	(2.1)	(1.4)	(0.4)	(0.4)	(9.0)	(7.6)
10/16	131,137	139,954	121,096	79,896	21,108	22,625	508,816	433,453
balance		8,817	-41,200			1,517	-75,363	
total	271,091	(2.4)	200,992	(1.8)	43,733	(0.4)	942,269	(8.3)
10/19~	(2.8)	(1.9)	(1.8)	(1.7)	(0.2)	(0.6)	(9.0)	(6.8)
10/23	180,987	123,975	119,806	108,623	11,949	39,375	592,092	441,365
balance	-57,012		-11,183			27,426	-150,727	
total	304,962	(2.3)	228,429	(1.8)	51,324	(0.4)	1,033,457	(7.9)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/13~	(0.6)	(0.4)	(0.2)	(0.1)	(8.1)	(7.1)	(0.1)	(0.1)
10/16	35,677	21,353	9,524	4,059	457,147	402,424	6,468	5,617
balance	-14,324		-5,465		-54,723		-851	
total	57,030	(0.5)	13,583	(0.1)	859,571	(7.6)	12,085	(0.1)
10/19~	(0.4)	(0.4)	(0.2)	(0.1)	(8.1)	(6.3)	(0.3)	(0.1)
10/23	28,207	24,877	14,517	3,583	532,745	409,207	16,623	3,698
balance	-3,330		-10,934		-123,538		-12,925	
total	53,084	(0.4)	18,100	(0.1)	941,952	(7.2)	20,321	(0.2)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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