

## Trading Volume by Investor Type TSE 1st section

All 57 cos.

2009/11 week 1 (2-Nov-6-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/26~	( 100.0 )	( 100.0 )	( 30.8 )	( 28.5 )	( 69.2 )	( 71.5 )
10/30	9,654,537	9,642,675	2,976,181	2,744,470	6,678,356	6,898,205
balance	-11,862		-231,711			219,849
total	19,297,212	( 100.0 )	5,720,651	( 29.6 )	13,576,561	( 70.4 )
11/02~	( 100.0 )	( 100.0 )	( 27.4 )	( 24.7 )	( 72.6 )	( 75.3 )
11/06	6,692,607	6,683,297	1,835,334	1,648,809	4,857,273	5,034,488
balance	-9,310		-186,525			177,215
total	13,375,904	( 100.0 )	3,484,143	( 26.0 )	9,891,761	( 74.0 )

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 15.9 )	( 16.1 )	( 31.1 )	( 31.0 )	( 48.1 )	( 48.1 )	( 4.8 )	( 4.7 )
10/30	1,063,107	1,113,033	2,080,172	2,140,858	3,215,591	3,318,523	319,486	325,791
balance		49,926		60,686		102,932		6,305
total	2,176,140	( 16.0 )	4,221,030	( 31.1 )	6,534,114	( 48.1 )	645,277	( 4.8 )
11/02~	( 13.5 )	( 11.7 )	( 32.8 )	( 34.5 )	( 48.7 )	( 48.6 )	( 5.0 )	( 5.2 )
11/06	655,313	590,187	1,595,486	1,739,329	2,363,663	2,445,313	242,811	259,659
balance	-65,126			143,843		81,650		16,848
total	1,245,500	( 12.6 )	3,334,815	( 33.7 )	4,808,976	( 48.6 )	502,470	( 5.1 )

Total trading volume	15,756,357
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	84.9%	22.1%	62.8%

Individual & proprietary

Transaction	sales	purchases
individual	676,469	763,481
cash	42.4%	43.9%
individual	919,017	975,848
margin	57.6%	56.1%
proprietary		
cash	1,582,730	1,642,734
proprietary		
margin	252,604	6,075

Foreign

	sales	purchases
institutions	2,343,786	2,423,008
individuals	19,877	22,305

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 2.6 )	( 2.8 )	( 1.3 )	( 1.2 )	( 0.2 )	( 0.4 )	( 11.8 )	( 11.8 )
10/30	176,345	196,198	89,729	80,995	10,997	25,052	786,036	810,788
balance		19,853		-8,734		14,055		24,752
total	372,543	( 2.7 )	170,724	( 1.3 )	36,049	( 0.3 )	1,596,824	( 11.8 )
11/02~	( 2.9 )	( 2.6 )	( 2.1 )	( 1.5 )	( 0.2 )	( 0.3 )	( 8.3 )	( 7.4 )
11/06	138,709	130,432	103,869	73,732	7,848	14,947	404,887	371,076
balance	-8,277		-30,137			7,099	-33,811	
total	269,141	( 2.7 )	177,601	( 1.8 )	22,795	( 0.2 )	775,963	( 7.8 )

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 0.7 )	( 0.4 )	( 0.2 )	( 0.1 )	( 10.7 )	( 11.2 )	( 0.2 )	( 0.1 )
10/30	44,622	24,395	16,518	6,861	713,908	770,983	10,988	8,549
balance	-20,227		-9,657			57,075		-2,439
total	69,017	( 0.5 )	23,379	( 0.2 )	1,484,891	( 10.9 )	19,537	( 0.1 )
11/02~	( 0.3 )	( 0.3 )	( 0.1 )	( 0.1 )	( 7.9 )	( 6.9 )	( 0.0 )	( 0.1 )
11/06	14,578	14,278	5,335	2,734	383,232	346,797	1,742	7,267
balance	-300		-2,601			-36,435		5,525
total	28,856	( 0.3 )	8,069	( 0.1 )	730,029	( 7.4 )	9,009	( 0.1 )

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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## Trading Volume by Investor Type TSE 2nd section

All 57 cos.

2009/11 week 1 (2-Nov-6-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/26~	( 100.0 )	( 100.0 )	( 2.1 )	( 1.7 )	( 97.9 )	( 98.3 )
10/30	248,663	248,790	5,109	4,126	243,554	244,664
balance		127	-983			1,110
total	497,453	( 100.0 )	9,235	( 1.9 )	488,218	( 98.1 )
11/02~	( 100.0 )	( 100.0 )	( 2.4 )	( 2.6 )	( 97.6 )	( 97.4 )
11/06	406,030	407,336	9,841	10,790	396,189	396,546
balance		1,306		949		357
total	813,366	( 100.0 )	20,631	( 2.5 )	792,735	( 97.5 )

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 6.6 )	( 4.0 )	( 75.6 )	( 79.7 )	( 5.9 )	( 3.5 )	( 12.0 )	( 12.8 )
10/30	16,026	9,767	184,086	194,907	14,321	8,582	29,121	31,408
balance	-6,259			10,821	-5,739			2,287
total	25,793	( 5.3 )	378,993	( 77.6 )	22,903	( 4.7 )	60,529	( 12.4 )
11/02~	( 3.0 )	( 3.0 )	( 80.5 )	( 82.0 )	( 5.8 )	( 3.4 )	( 10.7 )	( 11.7 )
11/06	11,738	11,995	318,768	324,994	23,168	13,311	42,515	46,246
balance		257		6,226	-9,857			3,731
total	23,733	( 3.0 )	643,762	( 81.2 )	36,479	( 4.6 )	88,761	( 11.2 )

Total trading volume	930,805
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	87.4%	2.2%	85.2%

Individual & proprietary

Transaction	sales	purchases
individual	272,909	275,145
cash	85.6%	84.7%
individual	45,859	49,849
margin	14.4%	15.3%
proprietary		
cash	9,811	10,788
proprietary		
margin	30	2

Foreign

	sales	purchases
institutions	21,954	11,916
individuals	1,214	1,395

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 0.1 )	( 0.1 )	( 5.9 )	( 2.5 )	( 0.1 )	( 1.0 )	( 0.5 )	( 0.3 )
10/30	293	324	14,358	6,237	221	2,478	1,154	728
balance		31	-8,121			2,257		-426
total	617	( 0.1 )	20,595	( 4.2 )	2,699	( 0.6 )	1,882	( 0.4 )
11/02~	( 0.1 )	( 0.1 )	( 2.5 )	( 2.1 )	( 0.1 )	( 0.4 )	( 0.3 )	( 0.5 )
11/06	216	245	9,818	8,333	479	1,597	1,225	1,820
balance		29	-1,485			1,118		595
total	461	( 0.1 )	18,151	( 2.3 )	2,076	( 0.3 )	3,045	( 0.4 )

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 0.0 )	( 0.0 )	( 0.1 )	( 0.0 )	( 0.3 )	( 0.3 )	( 0.0 )	( 0.0 )
10/30	34	4	290	8	825	712	5	4
balance	-30		-282		-113			-1
total	38	( 0.0 )	298	( 0.1 )	1,537	( 0.3 )	9	( 0.0 )
11/02~	( 0.0 )	( 0.0 )	( 0.1 )	( 0.0 )	( 0.2 )	( 0.5 )	( 0.0 )	( 0.0 )
11/06	56	3	245	3	919	1,803	5	11
balance	-53		-242			884		6
total	59	( 0.0 )	248	( 0.0 )	2,722	( 0.3 )	16	( 0.0 )

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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## Trading Volume by Investor Type TSE Mothers

All 57 cos.

2009/11 week 1 (2-Nov-6-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/26~	( 100.0 )	( 100.0 )	( 2.1 )	( 1.4 )	( 97.9 )	( 98.6 )
10/30	12,769	12,666	268	182	12,501	12,484
balance	-103		-86		-17	
total	25,435	( 100.0 )	450	( 1.8 )	24,985	( 98.2 )
11/02~	( 100.0 )	( 100.0 )	( 2.1 )	( 1.8 )	( 97.9 )	( 98.2 )
11/06	13,169	13,263	278	240	12,891	13,023
balance		94	-38			132
total	26,432	( 100.0 )	518	( 2.0 )	25,914	( 98.0 )

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 2.4 )	( 3.6 )	( 80.4 )	( 77.9 )	( 8.4 )	( 9.3 )	( 8.8 )	( 9.1 )
10/30	300	455	10,051	9,724	1,049	1,165	1,101	1,140
balance		155	-327			116		39
total	755	( 3.0 )	19,775	( 79.1 )	2,214	( 8.9 )	2,241	( 9.0 )
11/02~	( 2.0 )	( 3.0 )	( 84.3 )	( 81.1 )	( 5.6 )	( 8.1 )	( 8.1 )	( 7.7 )
11/06	260	396	10,868	10,567	722	1,056	1,041	1,004
balance		136	-301			334		-37
total	656	( 2.5 )	21,435	( 82.7 )	1,778	( 6.9 )	2,045	( 7.9 )

Total trading volume	28,835
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	91.7%	1.8%	89.9%

Individual & proprietary

Transaction	sales	purchases
individual	8,407	7,774
cash	77.4%	73.6%
individual	2,461	2,793
margin	22.6%	26.4%
proprietary		
cash	275	240
proprietary		
margin	3	0

Foreign

	sales	purchases
institutions	684	996
individuals	38	60

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 0.4 )	( 0.9 )	( 0.9 )	( 1.5 )	( 0.1 )	( 0.2 )	( 1.1 )	( 1.0 )
10/30	46	117	109	188	7	20	138	130
balance		71		79		13		-8
total	163	( 0.7 )	297	( 1.2 )	27	( 0.1 )	268	( 1.1 )
11/02~	( 0.4 )	( 1.6 )	( 0.9 )	( 0.6 )	( 0.0 )	( 0.0 )	( 0.7 )	( 0.8 )
11/06	47	212	119	80	4	3	90	101
balance		165	-39		-1			11
total	259	( 1.0 )	199	( 0.8 )	7	( 0.0 )	191	( 0.7 )

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 0.0 )	( 0.0 )	( 0.0 )	( 0.0 )	( 1.1 )	( 1.0 )	( 0.0 )	( 0.0 )
10/30	0	0	2	0	133	128	3	2
balance		0	-2		-5			-1
total	0	( 0.0 )	2	( 0.0 )	261	( 1.0 )	5	( 0.0 )
11/02~	( 0.0 )	( 0.0 )	( 0.0 )	( 0.0 )	( 0.7 )	( 0.8 )	( 0.0 )	( 0.0 )
11/06	0	1	0	0	90	98	0	2
balance		1		0		8		2
total	1	( 0.0 )	0	( 0.0 )	188	( 0.7 )	2	( 0.0 )

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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# Trading Volume by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/11 week 1 (2-Nov-6-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/26~	( 100.0 )	( 100.0 )	( 29.7 )	( 27.4 )	( 70.3 )	( 72.6 )
10/30	10,107,269	10,104,196	3,002,725	2,769,399	7,104,544	7,334,797
balance	-3,073		-233,326			230,253
total	20,211,465	( 100.0 )	5,772,124	( 28.6 )	14,439,341	( 71.4 )
11/02~	( 100.0 )	( 100.0 )	( 25.1 )	( 22.6 )	( 74.9 )	( 77.4 )
11/06	7,399,319	7,396,323	1,858,491	1,674,308	5,540,828	5,722,015
balance	-2,996		-184,183			181,187
total	14,795,642	( 100.0 )	3,532,799	( 23.9 )	11,262,843	( 76.1 )

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 15.4 )	( 15.5 )	( 33.4 )	( 33.5 )	( 45.9 )	( 45.8 )	( 5.3 )	( 5.3 )
10/30	1,092,412	1,136,253	2,375,482	2,454,224	3,259,386	3,358,436	377,264	385,884
balance		43,841		78,742		99,050		8,620
total	2,228,665	( 15.4 )	4,829,706	( 33.4 )	6,617,822	( 45.8 )	763,148	( 5.3 )
11/02~	( 12.4 )	( 10.7 )	( 38.1 )	( 39.8 )	( 43.6 )	( 43.5 )	( 5.9 )	( 6.1 )
11/06	685,116	610,681	2,110,150	2,277,364	2,417,083	2,486,537	328,479	347,433
balance	-74,435			167,214		69,454		18,954
total	1,295,797	( 11.5 )	4,387,514	( 39.0 )	4,903,620	( 43.5 )	675,912	( 6.0 )

Total trading volume	17,319,457
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Ratio of proprietary brokerage trading  
All 58 cos.

	total	proprietary	brokerage
	85.4%	20.4%	65.0%

Individual & proprietary

Transaction	sales	purchases
individual	1,122,377	1,222,695
cash	53.2%	53.7%
individual	987,773	1,054,669
margin	46.8%	46.3%
proprietary		
cash	1,603,879	1,668,231
proprietary		
margin	254,612	6,077

Foreign

	sales	purchases
institutions	2,395,321	2,461,617
individuals	21,762	24,920

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 2.5 )	( 2.7 )	( 1.5 )	( 1.2 )	( 0.2 )	( 0.4 )	( 11.2 )	( 11.1 )
10/30	178,749	200,226	107,980	90,137	11,487	29,083	794,196	816,807
balance		21,477		-17,843		17,596		22,611
total	378,975	( 2.6 )	198,117	( 1.4 )	40,570	( 0.3 )	1,611,003	( 11.2 )
11/02~	( 2.5 )	( 2.3 )	( 2.1 )	( 1.5 )	( 0.2 )	( 0.3 )	( 7.5 )	( 6.6 )
11/06	141,278	131,969	118,187	86,356	8,485	16,953	417,166	375,403
balance	-9,309		-31,831			8,468		-41,763
total	273,247	( 2.4 )	204,543	( 1.8 )	25,438	( 0.2 )	792,569	( 7.0 )

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/26~	( 0.6 )	( 0.3 )	( 0.2 )	( 0.1 )	( 10.1 )	( 10.6 )	( 0.2 )	( 0.1 )
10/30	44,809	24,517	17,116	7,223	721,051	776,415	11,220	8,652
balance	-20,292			-9,893		55,364		-2,568
total	69,326	( 0.5 )	24,339	( 0.2 )	1,497,466	( 10.4 )	19,872	( 0.1 )
11/02~	( 0.3 )	( 0.2 )	( 0.1 )	( 0.0 )	( 7.1 )	( 6.1 )	( 0.0 )	( 0.1 )
11/06	14,748	14,296	7,109	2,755	393,476	351,007	1,833	7,345
balance	-452		-4,354		-42,469			5,512
total	29,044	( 0.3 )	9,864	( 0.1 )	744,483	( 6.6 )	9,178	( 0.1 )

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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