

Trading Volume by Investor Type TSE 1st section

All 57 cos.

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(27.4)	(24.7)	(72.6)	(75.3)
11/06	6,692,607	6,683,297	1,835,334	1,648,809	4,857,273	5,034,488
balance	-9,310		-186,525			177,215
total	13,375,904	(100.0)	3,484,143	(26.0)	9,891,761	(74.0)
11/09~	(100.0)	(100.0)	(26.5)	(25.0)	(73.5)	(75.0)
11/13	8,181,275	8,178,259	2,164,724	2,045,224	6,016,551	6,133,035
balance	-3,016		-119,500			116,484
total	16,359,534	(100.0)	4,209,948	(25.7)	12,149,586	(74.3)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(13.5)	(11.7)	(32.8)	(34.5)	(48.7)	(48.6)	(5.0)	(5.2)
11/06	655,313	590,187	1,595,486	1,739,329	2,363,663	2,445,313	242,811	259,659
balance	-65,126			143,843		81,650		16,848
total	1,245,500	(12.6)	3,334,815	(33.7)	4,808,976	(48.6)	502,470	(5.1)
11/09~	(15.4)	(15.8)	(33.0)	(32.1)	(46.5)	(47.1)	(5.1)	(5.0)
11/13	924,567	970,752	1,983,473	1,966,612	2,799,944	2,891,700	308,567	303,971
balance		46,185	-16,861		91,756		-4,596	
total	1,895,319	(15.6)	3,950,085	(32.5)	5,691,644	(46.8)	612,538	(5.0)

Total trading volume	19,018,692
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	86.0%	22.1%	63.9%

Individual & proprietary

Transaction	sales	purchases
individual	830,669	805,639
cash	41.9%	41.0%
individual	1,152,804	1,160,973
margin	58.1%	59.0%
proprietary		
cash	1,780,423	2,036,854
proprietary		
margin	384,301	8,370

Foreign

	sales	purchases
institutions	2,772,998	2,867,422
individuals	26,946	24,278

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(2.9)	(2.6)	(2.1)	(1.5)	(0.2)	(0.3)	(8.3)	(7.4)
11/06	138,709	130,432	103,869	73,732	7,848	14,947	404,887	371,076
balance	-8,277		-30,137			7,099	-33,811	
total	269,141	(2.7)	177,601	(1.8)	22,795	(0.2)	775,963	(7.8)
11/09~	(2.9)	(4.5)	(2.2)	(1.5)	(0.1)	(0.3)	(10.1)	(9.5)
11/13	177,327	275,441	131,572	95,037	6,276	20,172	609,392	580,102
balance		98,114	-36,535			13,896	-29,290	
total	452,768	(3.7)	226,609	(1.9)	26,448	(0.2)	1,189,494	(9.8)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.3)	(0.3)	(0.1)	(0.1)	(7.9)	(6.9)	(0.0)	(0.1)
11/06	14,578	14,278	5,335	2,734	383,232	346,797	1,742	7,267
balance	-300		-2,601		-36,435			5,525
total	28,856	(0.3)	8,069	(0.1)	730,029	(7.4)	9,009	(0.1)
11/09~	(0.6)	(0.2)	(0.1)	(0.1)	(9.2)	(9.1)	(0.2)	(0.1)
11/13	35,175	11,786	8,774	5,169	555,294	558,238	10,149	4,909
balance	-23,389		-3,605		2,944		-5,240	
total	46,961	(0.4)	13,943	(0.1)	1,113,532	(9.2)	15,058	(0.1)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Volume by Investor Type TSE 2nd section

All 57 cos.

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(2.4)	(2.6)	(97.6)	(97.4)
11/06	406,030	407,336	9,841	10,790	396,189	396,546
balance		1,306		949		357
total	813,366	(100.0)	20,631	(2.5)	792,735	(97.5)
11/09~	(100.0)	(100.0)	(2.3)	(2.0)	(97.7)	(98.0)
11/13	317,996	319,783	7,308	6,435	310,688	313,348
balance		1,787		-873		2,660
total	637,779	(100.0)	13,743	(2.2)	624,036	(97.8)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(3.0)	(3.0)	(80.5)	(82.0)	(5.8)	(3.4)	(10.7)	(11.7)
11/06	11,738	11,995	318,768	324,994	23,168	13,311	42,515	46,246
balance		257		6,226		-9,857		3,731
total	23,733	(3.0)	643,762	(81.2)	36,479	(4.6)	88,761	(11.2)
11/09~	(6.7)	(3.0)	(77.9)	(81.2)	(5.5)	(5.0)	(9.8)	(10.8)
11/13	20,809	9,359	242,139	254,491	17,230	15,740	30,510	33,758
balance	-11,450			12,352		-1,490		3,248
total	30,168	(4.8)	496,630	(79.6)	32,970	(5.3)	64,268	(10.3)

Total trading volume	743,121
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	85.8%	1.8%	84.0%

Individual & proprietary

Transaction	sales	purchases
individual	196,285	204,532
cash	81.1%	80.4%
individual	45,854	49,959
margin	18.9%	19.6%
proprietary		
cash	7,218	6,435
proprietary		
margin	90	0

Foreign

	sales	purchases
institutions	15,971	13,455
individuals	1,259	2,285

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.1)	(0.1)	(2.5)	(2.1)	(0.1)	(0.4)	(0.3)	(0.5)
11/06	216	245	9,818	8,333	479	1,597	1,225	1,820
balance		29		-1,485		1,118		595
total	461	(0.1)	18,151	(2.3)	2,076	(0.3)	3,045	(0.4)
11/09~	(0.3)	(0.1)	(5.4)	(2.0)	(0.6)	(0.4)	(0.4)	(0.4)
11/13	790	407	16,932	6,416	1,745	1,185	1,342	1,351
balance	-383			-10,516		-560		9
total	1,197	(0.2)	23,348	(3.7)	2,930	(0.5)	2,693	(0.4)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.0)	(0.0)	(0.1)	(0.0)	(0.2)	(0.5)	(0.0)	(0.0)
11/06	56	3	245	3	919	1,803	5	11
balance	-53			-242		884		6
total	59	(0.0)	248	(0.0)	2,722	(0.3)	16	(0.0)
11/09~	(0.0)	(0.0)	(0.1)	(0.0)	(0.4)	(0.4)	(0.0)	(0.0)
11/13	31	3	201	6	1,108	1,327	2	15
balance	-28			-195		219		13
total	34	(0.0)	207	(0.0)	2,435	(0.4)	17	(0.0)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Volume by Investor Type TSE Mothers

All 57 cos.

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio:% inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(2.1)	(1.8)	(97.9)	(98.2)
11/06	13,169	13,263	278	240	12,891	13,023
balance		94	-38			132
total	26,432	(100.0)	518	(2.0)	25,914	(98.0)
11/09~	(100.0)	(100.0)	(3.7)	(3.1)	(96.3)	(96.9)
11/13	10,117	10,096	377	311	9,740	9,785
balance	-21		-66			45
total	20,213	(100.0)	688	(3.4)	19,525	(96.6)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(2.0)	(3.0)	(84.3)	(81.1)	(5.6)	(8.1)	(8.1)	(7.7)
11/06	260	396	10,868	10,567	722	1,056	1,041	1,004
balance		136	-301			334		-37
total	656	(2.5)	21,435	(82.7)	1,778	(6.9)	2,045	(7.9)
11/09~	(4.6)	(2.0)	(75.2)	(78.5)	(13.7)	(11.1)	(6.6)	(8.3)
11/13	446	194	7,322	7,685	1,331	1,090	641	816
balance	-252			363	-241			175
total	640	(3.3)	15,007	(76.9)	2,421	(12.4)	1,457	(7.5)

Total trading volume	23,211
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Ratio of proprietary brokerage trading

All 57 cos.

total	proprietary	brokerage
87.1%	3.0%	84.1%

Individual & proprietary

Transaction	sales	purchases
individual	5,919	6,167
cash	80.8%	80.2%
individual	1,403	1,518
margin	19.2%	19.8%
proprietary		
cash	327	311
proprietary		
margin	50	0

Foreign

	sales	purchases
institutions	1,314	1,056
individuals	17	34

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.4)	(1.6)	(0.9)	(0.6)	(0.0)	(0.0)	(0.7)	(0.8)
11/06	47	212	119	80	4	3	90	101
balance		165	-39			-1		11
total	259	(1.0)	199	(0.8)	7	(0.0)	191	(0.7)
11/09~	(2.4)	(0.4)	(0.2)	(0.5)	(0.0)	(0.1)	(1.9)	(1.0)
11/13	235	37	23	48	4	10	184	99
balance	-198			25		6		-85
total	272	(1.4)	71	(0.4)	14	(0.1)	283	(1.4)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.0)	(0.0)	(0.0)	(0.0)	(0.7)	(0.8)	(0.0)	(0.0)
11/06	0	1	0	0	90	98	0	2
balance		1		0		8		2
total	1	(0.0)	0	(0.0)	188	(0.7)	2	(0.0)
11/09~	(0.3)	(0.0)	(0.0)	(0.0)	(1.6)	(1.0)	(0.0)	(0.0)
11/13	28	0	0	0	156	99	0	0
balance	-28			0		-57		0
total	28	(0.1)	0	(0.0)	255	(1.3)	0	(0.0)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Volume by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/11 week 2 (9-Nov~13-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(25.1)	(22.6)	(74.9)	(77.4)
11/06	7,399,319	7,396,323	1,858,491	1,674,308	5,540,828	5,722,015
balance	-2,996		-184,183			181,187
total	14,795,642	(100.0)	3,532,799	(23.9)	11,262,843	(76.1)
11/09~	(100.0)	(100.0)	(25.0)	(23.6)	(75.0)	(76.4)
11/13	8,748,673	8,747,825	2,189,507	2,067,239	6,559,166	6,680,586
balance	-848		-122,268			121,420
total	17,496,498	(100.0)	4,256,746	(24.3)	13,239,752	(75.7)

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(12.4)	(10.7)	(38.1)	(39.8)	(43.6)	(43.5)	(5.9)	(6.1)
11/06	685,116	610,681	2,110,150	2,277,364	2,417,083	2,486,537	328,479	347,433
balance	-74,435			167,214		69,454		18,954
total	1,295,797	(11.5)	4,387,514	(39.0)	4,903,620	(43.5)	675,912	(6.0)
11/09~	(14.7)	(14.8)	(36.2)	(35.6)	(43.6)	(44.1)	(5.6)	(5.5)
11/13	961,218	991,844	2,372,789	2,375,946	2,857,502	2,945,479	367,657	367,317
balance		30,626		3,157		87,977	-340	
total	1,953,062	(14.8)	4,748,735	(35.9)	5,802,981	(43.8)	734,974	(5.6)

Total trading volume	20,295,449
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Ratio of proprietary brokerage trading

All 58 cos.

	total	proprietary	brokerage
	86.2%	21.0%	65.2%

Individual & proprietary

Transaction	sales	purchases
individual	1,144,694	1,132,257
cash	48.2%	47.7%
individual	1,228,095	1,243,689
margin	51.8%	52.3%
proprietary		
cash	1,801,868	2,058,861
proprietary		
margin	387,639	8,378

Foreign

	sales	purchases
institutions	2,828,487	2,918,108
individuals	29,015	27,371

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(2.5)	(2.3)	(2.1)	(1.5)	(0.2)	(0.3)	(7.5)	(6.6)
11/06	141,278	131,969	118,187	86,356	8,485	16,953	417,166	375,403
balance	-9,309		-31,831			8,468	-41,763	
total	273,247	(2.4)	204,543	(1.8)	25,438	(0.2)	792,569	(7.0)
11/09~	(2.8)	(4.2)	(2.3)	(1.6)	(0.1)	(0.3)	(9.4)	(8.8)
11/13	182,660	278,879	151,834	105,036	8,298	22,214	618,426	585,715
balance		96,219	-46,798			13,916	-32,711	
total	461,539	(3.5)	256,870	(1.9)	30,512	(0.2)	1,204,141	(9.1)

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.3)	(0.2)	(0.1)	(0.0)	(7.1)	(6.1)	(0.0)	(0.1)
11/06	14,748	14,296	7,109	2,755	393,476	351,007	1,833	7,345
balance	-452		-4,354		-42,469			5,512
total	29,044	(0.3)	9,864	(0.1)	744,483	(6.6)	9,178	(0.1)
11/09~	(0.5)	(0.2)	(0.2)	(0.1)	(8.6)	(8.4)	(0.2)	(0.1)
11/13	35,279	11,841	10,495	5,222	562,450	563,651	10,202	5,001
balance	-23,438		-5,273			1,201	-5,201	
total	47,120	(0.4)	15,717	(0.1)	1,126,101	(8.5)	15,203	(0.1)

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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