

Trading Volume by Investor Type TSE 1st section

All 57 cos.

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
08/31~	(100.0)	(100.0)	(25.7)	(25.6)	(74.3)	(74.4)
10/02	40,629,399	40,536,822	10,455,148	10,366,225	30,174,251	30,170,597
balance	-92,577		-88,923		-3,654	
total	81,166,221	(100.0)	20,821,373	(25.7)	60,344,848	(74.3)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(13.9)	(10.5)	(32.9)	(37.3)	(48.4)	(47.0)	(4.9)	(5.2)
10/02	4,180,681	3,164,363	9,913,841	11,255,000	14,610,276	14,169,515	1,469,453	1,581,719
balance	-1,016,318			1,341,159	-440,761			112,266
total	7,345,044	(12.2)	21,168,841	(35.1)	28,779,791	(47.7)	3,051,172	(5.1)
balance								
total								

Total trading volume	92,940,300
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	87.3%	22.4%	64.9%

Individual & proprietary

Transaction	sales	purchases
individual	4,399,119	5,279,860
cash	44.4%	46.9%
individual	5,514,722	5,975,140
margin	55.6%	53.1%
proprietary		
cash	9,273,640	10,314,377
proprietary		
margin	1,181,508	51,848

Foreign

	sales	purchases
institutions	14,471,648	13,902,748
individuals	138,628	266,767

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(2.9)	(2.2)	(1.7)	(1.7)	(0.2)	(0.4)	(9.0)	(6.1)
10/02	869,297	663,959	518,749	525,351	71,708	121,805	2,720,927	1,853,248
balance	-205,338			6,602		50,097	-867,679	
total	1,533,256	(2.5)	1,044,100	(1.7)	193,513	(0.3)	4,574,175	(7.6)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(0.7)	(0.3)	(0.3)	(0.1)	(7.9)	(5.7)	(0.1)	(0.1)
10/02	210,278	86,985	85,419	35,900	2,391,859	1,708,618	33,371	21,745
balance	-123,293		-49,519		-683,241		-11,626	
total	297,263	(0.5)	121,319	(0.2)	4,100,477	(6.8)	55,116	(0.1)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE 2nd section

All 57 cos.

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
08/31~	(100.0)	(100.0)	(2.6)	(2.1)	(97.4)	(97.9)
10/02	669,485	674,204	17,431	14,327	652,054	659,877
balance		4,719	-3,104			7,823
total	1,343,689	(100.0)	31,758	(2.4)	1,311,931	(97.6)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(7.5)	(10.3)	(73.3)	(74.0)	(9.0)	(6.2)	(10.1)	(9.6)
10/02	49,046	67,805	478,255	488,187	58,935	40,763	65,818	63,122
balance		18,759		9,932	-18,172		-2,696	
total	116,851	(8.9)	966,442	(73.7)	99,698	(7.6)	128,940	(9.8)
balance								
total								

Total trading volume	1,470,164
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Ratio of proprietary brokerage trading
All 57 cos.

total	proprietary	brokerage
91.4%	2.2%	89.2%

Individual & proprietary

Transaction	sales	purchases
individual	361,795	370,196
cash	75.6%	75.8%
individual	116,460	117,991
margin	24.4%	24.2%
proprietary		
cash	15,992	14,326
proprietary		
margin	1,439	1

Foreign

	sales	purchases
institutions	55,328	38,197
individuals	3,607	2,566

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(0.5)	(0.6)	(3.7)	(7.2)	(0.2)	(1.4)	(3.2)	(1.0)
10/02	3,185	3,865	23,959	47,798	1,359	9,226	20,543	6,916
balance		680		23,839		7,867	-13,627	
total	7,050	(0.5)	71,757	(5.5)	10,585	(0.8)	27,459	(2.1)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(0.1)	(0.0)	(2.0)	(0.1)	(1.0)	(0.9)	(0.0)	(0.0)
10/02	835	239	13,147	371	6,428	6,185	133	121
balance	-596		-12,776		-243		-12	
total	1,074	(0.1)	13,518	(1.0)	12,613	(1.0)	254	(0.0)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE Mothers

All 57 cos.

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
08/31~	(100.0)	(100.0)	(2.5)	(1.6)	(97.5)	(98.4)
10/02	57,318	57,278	1,427	921	55,891	56,357
balance	-40		-506			466
total	114,596	(100.0)	2,348	(2.0)	112,248	(98.0)
	()	()	()	()	()	()
balance						
total	()	()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(4.8)	(3.0)	(76.3)	(80.6)	(10.8)	(8.7)	(8.2)	(7.7)
10/02	2,679	1,704	42,645	45,425	6,009	4,907	4,558	4,321
balance	-975			2,780	-1,102		-237	
total	4,383	(3.9)	88,070	(78.5)	10,916	(9.7)	8,879	(7.9)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

Total trading volume	125,391
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Ratio of proprietary brokerage trading
All 57 cos.

	total	proprietary	brokerage
	91.4%	1.9%	89.5%

Individual & proprietary

Transaction	sales	purchases
individual	32,626	36,279
cash	76.5%	79.9%
individual	10,019	9,146
margin	23.5%	20.1%
proprietary		
cash	1,408	921
proprietary		
margin	19	0

Foreign

	sales	purchases
institutions	5,746	4,593
individuals	263	314

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(0.8)	(1.1)	(1.5)	(0.6)	(0.9)	(0.1)	(1.6)	(1.2)
10/02	442	623	820	349	496	34	921	698
balance		181		-471			-223	
total	1,065	(0.9)	1,169	(1.0)	530	(0.5)	1,619	(1.4)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(0.1)	(0.0)	(0.0)	(0.0)	(1.0)	(1.2)	(0.6)	(0.0)
10/02	44	0	2	0	564	687	311	11
balance	-44		-2		123		-300	
total	44	(0.0)	2	(0.0)	1,251	(1.1)	322	(0.3)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/9 (31-Aug~2-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
08/31~	(100.0)	(100.0)	(24.9)	(24.7)	(75.1)	(75.3)
10/02	42,303,302	42,224,564	10,544,432	10,444,618	31,758,870	31,779,946
balance	-78,738		-99,814			21,076
total	84,527,866	(100.0)	20,989,050	(24.8)	63,538,816	(75.2)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(13.5)	(10.4)	(34.6)	(38.9)	(46.7)	(45.1)	(5.2)	(5.6)
10/02	4,294,856	3,298,446	10,989,790	12,368,932	14,824,147	14,347,599	1,650,077	1,764,969
balance	-996,410			1,379,142	-476,548			114,892
total	7,593,302	(12.0)	23,358,722	(36.8)	29,171,746	(45.9)	3,415,046	(5.4)
balance								
total								

Total trading volume	96,525,732
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Ratio of proprietary brokerage trading

All 58 cos.

	total	proprietary	brokerage
	87.6%	21.7%	65.8%

Individual & proprietary

Transaction	sales	purchases
individual	5,213,872	6,124,045
cash	47.4%	49.5%
individual	5,775,918	6,244,887
margin	52.6%	50.5%
proprietary		
cash	9,351,671	10,392,758
proprietary		
margin	1,192,761	51,860

Foreign

	sales	purchases
institutions	14,678,086	14,074,651
individuals	146,061	272,948

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(2.8)	(2.1)	(1.8)	(1.9)	(0.2)	(0.4)	(8.7)	(5.9)
10/02	881,024	679,781	568,209	599,573	75,922	137,976	2,769,701	1,881,116
balance	-201,243			31,364		62,054	-888,585	
total	1,560,805	(2.5)	1,167,782	(1.8)	213,898	(0.3)	4,650,817	(7.3)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
08/31~	(0.7)	(0.3)	(0.3)	(0.1)	(7.6)	(5.5)	(0.1)	(0.1)
10/02	212,745	89,487	101,753	36,606	2,420,808	1,732,922	34,395	22,101
balance	-123,258		-65,147		-687,886		-12,294	
total	302,232	(0.5)	138,359	(0.2)	4,153,730	(6.5)	56,496	(0.1)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks