

Trading Volume by Investor Type TSE 1st section

All 57 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	(100.0)	(100.0)	(28.2)	(26.5)	(71.8)	(73.5)
10/30	35,152,066	35,120,883	9,902,919	9,308,822	25,249,147	25,812,061
balance	-31,183		-594,097			562,914
total	70,272,949	(100.0)	19,211,741	(27.3)	51,061,208	(72.7)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(14.2)	(12.6)	(33.3)	(32.8)	(47.6)	(49.8)	(4.9)	(4.9)
10/30	3,574,474	3,241,525	8,407,608	8,460,540	12,028,161	12,855,057	1,238,904	1,254,939
balance	-332,949			52,932		826,896		16,035
total	6,815,999	(13.3)	16,868,148	(33.0)	24,883,218	(48.7)	2,493,843	(4.9)
balance								
total								

Total trading volume	82,162,899
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	85.5%	23.4%	62.1%

Individual & proprietary

Transaction	sales	purchases
individual	3,603,573	3,513,714
cash	42.9%	41.5%
individual	4,804,035	4,946,826
margin	57.1%	58.5%
proprietary		
cash	8,645,905	9,273,422
proprietary		
margin	1,257,014	35,400

Foreign

	sales	purchases
institutions	11,921,925	12,735,324
individuals	106,236	119,733

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(2.6)	(2.4)	(1.6)	(1.4)	(0.3)	(0.4)	(9.7)	(8.4)
10/30	653,250	624,091	410,966	348,849	64,402	97,388	2,445,856	2,171,197
balance	-29,159		-62,117			32,986	-274,659	
total	1,277,341	(2.5)	759,815	(1.5)	161,790	(0.3)	4,617,053	(9.0)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(0.5)	(0.4)	(0.2)	(0.1)	(8.8)	(7.9)	(0.2)	(0.1)
10/30	122,043	90,850	48,280	23,184	2,234,043	2,033,393	41,490	23,770
balance	-31,193		-25,096		-200,650		-17,720	
total	212,893	(0.4)	71,464	(0.1)	4,267,436	(8.4)	65,260	(0.1)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE 2nd section

All 57 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	(100.0)	(100.0)	(2.1)	(2.0)	(97.9)	(98.0)
10/30	536,460	536,264	11,338	10,662	525,122	525,602
balance	-196		-676			480
total	1,072,724	(100.0)	22,000	(2.1)	1,050,724	(97.9)
	()	()	()	()	()	()
balance						
total	()	()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(6.3)	(6.0)	(74.6)	(77.4)	(8.7)	(5.5)	(10.5)	(11.1)
10/30	33,002	31,684	391,499	406,860	45,468	28,718	55,153	58,340
balance	-1,318			15,361	-16,750			3,187
total	64,686	(6.2)	798,359	(76.0)	74,186	(7.1)	113,493	(10.8)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

Total trading volume	1,190,018
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Ratio of proprietary brokerage trading
All 57 cos.

	total	proprietary	brokerage
	90.1%	1.8%	88.3%

Individual & proprietary

Transaction	sales	purchases
individual	302,161	306,628
cash	77.2%	75.4%
individual	89,338	100,232
margin	22.8%	24.6%
proprietary		
cash	11,001	10,658
proprietary		
margin	337	4

Foreign

	sales	purchases
institutions	42,545	25,816
individuals	2,923	2,902

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(0.3)	(0.5)	(5.1)	(3.4)	(0.1)	(1.5)	(0.8)	(0.8)
10/30	1,441	2,447	26,622	17,658	556	7,623	4,383	3,956
balance		1,006	-8,964			7,067	-427	
total	3,888	(0.4)	44,280	(4.2)	8,179	(0.8)	8,339	(0.8)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(0.0)	(0.0)	(0.1)	(0.0)	(0.7)	(0.7)	(0.0)	(0.0)
10/30	92	62	664	37	3,604	3,846	23	11
balance	-30		-627			242	-12	
total	154	(0.0)	701	(0.1)	7,450	(0.7)	34	(0.0)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE Mothers

All 57 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	(100.0)	(100.0)	(2.6)	(2.3)	(97.4)	(97.7)
10/30	40,702	40,593	1,069	932	39,633	39,661
balance	-109		-137			28
total	81,295	(100.0)	2,001	(2.5)	79,294	(97.5)
	()	()	()	()	()	()
balance						
total	()	()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(2.5)	(3.2)	(78.4)	(77.6)	(10.8)	(11.1)	(8.3)	(8.1)
10/30	997	1,281	31,092	30,792	4,270	4,386	3,274	3,202
balance		284	-300			116	-72	
total	2,278	(2.9)	61,884	(78.0)	8,656	(10.9)	6,476	(8.2)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

Total trading volume	85,544
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Ratio of proprietary brokerage trading
All 57 cos.

	total	proprietary	brokerage
	95.0%	2.3%	92.7%

Individual & proprietary

Transaction	sales	purchases
individual	24,930	24,243
cash	80.2%	78.7%
individual	6,162	6,549
margin	19.8%	21.3%
proprietary		
cash	1,023	932
proprietary		
margin	46	0

Foreign

	sales	purchases
institutions	3,952	4,253
individuals	318	133

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(0.5)	(0.9)	(0.7)	(0.8)	(0.0)	(0.1)	(1.3)	(1.5)
10/30	185	356	292	301	15	40	505	584
balance		171		9		25		79
total	541	(0.7)	593	(0.7)	55	(0.1)	1,089	(1.4)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(0.0)	(0.0)	(0.0)	(0.0)	(1.2)	(1.4)	(0.1)	(0.0)
10/30	0	1	2	0	468	573	35	10
balance		1	-2			105	-25	
total	1	(0.0)	2	(0.0)	1,041	(1.3)	45	(0.1)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	(100.0)	(100.0)	(27.4)	(25.8)	(72.6)	(74.2)
10/30	36,361,318	36,346,265	9,980,321	9,384,839	26,380,997	26,961,426
balance	-15,053		-595,482			580,429
total	72,707,583	(100.0)	19,365,160	(26.6)	53,342,423	(73.4)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(13.9)	(12.3)	(34.7)	(34.3)	(46.2)	(48.2)	(5.2)	(5.2)
10/30	3,654,472	3,315,139	9,157,493	9,246,887	12,188,351	12,999,378	1,380,681	1,400,022
balance	-339,333			89,394		811,027		19,341
total	6,969,611	(13.1)	18,404,380	(34.5)	25,187,729	(47.2)	2,780,703	(5.2)
balance								
total								

Total trading volume	84,788,540
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Ratio of proprietary brokerage trading
All 58 cos.

	total	proprietary	brokerage
	85.8%	22.8%	62.9%

Individual & proprietary

Transaction	sales	purchases
individual	4,187,727	4,108,329
cash	45.7%	44.4%
individual	4,969,766	5,138,558
margin	54.3%	55.6%
proprietary		
cash	8,713,293	9,349,385
proprietary		
margin	1,267,028	35,454

Foreign

	sales	purchases
institutions	12,076,340	12,872,898
individuals	112,011	126,480

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(2.5)	(2.4)	(1.7)	(1.4)	(0.3)	(0.4)	(9.4)	(8.1)
10/30	662,755	636,576	451,339	376,494	66,122	109,820	2,474,256	2,192,249
balance	-26,179		-74,845			43,698	-282,007	
total	1,299,331	(2.4)	827,833	(1.6)	175,942	(0.3)	4,666,505	(8.7)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	(0.5)	(0.3)	(0.2)	(0.1)	(8.6)	(7.6)	(0.2)	(0.1)
10/30	122,474	91,184	49,889	23,975	2,259,743	2,052,995	42,150	24,095
balance	-31,290		-25,914		-206,748		-18,055	
total	213,658	(0.4)	73,864	(0.1)	4,312,738	(8.1)	66,245	(0.1)
balance								
total								

- 1) Survey results from Trading Participant with capital of over 3 billion yen.
- 2) Includes off hour trading.
- 3) - denotes net sales.
- 4) Not Include Foreign Stocks