

Trading Volume by Investor Type TSE 1st section

All 57 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(26.5)	(24.7)	(73.5)	(75.3)
11/27	32,225,833	32,218,723	8,550,726	7,948,589	23,675,107	24,270,134
balance	-7,110		-602,137			595,027
total	64,444,556	(100.0)	16,499,315	(25.6)	47,945,241	(74.4)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(12.5)	(13.4)	(32.4)	(32.6)	(50.1)	(49.0)	(5.0)	(5.0)
11/27	2,955,542	3,256,114	7,677,693	7,917,317	11,866,540	11,891,203	1,175,332	1,205,500
balance		300,572		239,624		24,663		30,168
total	6,211,656	(13.0)	15,595,010	(32.5)	23,757,743	(49.6)	2,380,832	(5.0)
balance								
total								

Total trading volume	75,141,485
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	85.8%	22.0%	63.8%

Individual & proprietary

Transaction	sales	purchases
individual	3,103,895	3,320,036
cash	40.4%	41.9%
individual	4,573,798	4,597,281
margin	59.6%	58.1%
proprietary		
cash	7,289,552	7,915,573
proprietary		
margin	1,261,174	33,016

Foreign

	sales	purchases
institutions	11,748,272	11,784,380
individuals	118,268	106,823

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(2.6)	(3.0)	(2.1)	(1.6)	(0.2)	(0.4)	(7.7)	(8.4)
11/27	608,643	730,931	485,612	382,834	39,557	98,801	1,821,730	2,043,548
balance		122,288	-102,778			59,244		221,818
total	1,339,574	(2.8)	868,446	(1.8)	138,358	(0.3)	3,865,278	(8.1)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.5)	(0.6)	(0.1)	(0.1)	(6.9)	(7.5)	(0.1)	(0.2)
11/27	122,927	144,944	32,849	27,217	1,631,261	1,831,640	34,693	39,747
balance		22,017	-5,632			200,379		5,054
total	267,871	(0.6)	60,066	(0.1)	3,462,901	(7.2)	74,440	(0.2)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE 2nd section

All 57 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(2.3)	(2.0)	(97.7)	(98.0)
11/27	1,111,354	1,128,426	25,422	22,099	1,085,932	1,106,327
balance		17,072	-3,323			20,395
total	2,239,780	(100.0)	47,521	(2.1)	2,192,259	(97.9)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(5.1)	(3.7)	(78.5)	(81.2)	(6.1)	(4.4)	(10.4)	(10.7)
11/27	55,240	41,412	852,438	898,232	65,718	48,332	112,536	118,351
balance	-13,828			45,794	-17,386			5,815
total	96,652	(4.4)	1,750,670	(79.9)	114,050	(5.2)	230,887	(10.5)
balance								
total								

Total trading volume	2,584,381
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Ratio of proprietary brokerage trading

All 57 cos.

total	proprietary	brokerage
86.7%	1.8%	84.8%

Individual & proprietary

Transaction	sales	purchases
individual	692,540	731,705
cash	81.2%	81.5%
individual	159,898	166,527
margin	18.8%	18.5%
proprietary		
cash	25,017	22,097
proprietary		
margin	405	2

Foreign

	sales	purchases
institutions	60,833	41,676
individuals	4,885	6,656

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.2)	(0.1)	(4.1)	(2.4)	(0.2)	(0.7)	(0.5)	(0.6)
11/27	2,028	1,351	44,972	26,523	2,411	7,266	5,829	6,272
balance	-677		-18,449			4,855		443
total	3,379	(0.2)	71,495	(3.3)	9,677	(0.4)	12,101	(0.6)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.0)	(0.0)	(0.1)	(0.0)	(0.3)	(0.6)	(0.1)	(0.0)
11/27	124	52	692	10	3,586	6,091	1,427	119
balance	-72		-682			2,505		-1,308
total	176	(0.0)	702	(0.0)	9,677	(0.4)	1,546	(0.1)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type TSE Mothers

All 57 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(2.2)	(2.6)	(97.8)	(97.4)
11/27	109,441	109,167	2,388	2,878	107,053	106,289
balance	-274			490	-764	
total	218,608	(100.0)	5,266	(2.4)	213,342	(97.6)
	()	()	()	()	()	()
balance						
total	()	()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(4.7)	(2.4)	(78.9)	(81.3)	(8.5)	(8.2)	(7.9)	(8.1)
11/27	5,048	2,601	84,440	86,367	9,120	8,691	8,445	8,630
balance	-2,447			1,927	-429			185
total	7,649	(3.6)	170,807	(80.1)	17,811	(8.3)	17,075	(8.0)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

Total trading volume	248,774
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	87.9%	2.1%	85.8%

Individual & proprietary

Transaction	sales	purchases
individual	68,439	68,009
cash	81.1%	78.7%
individual	16,001	18,358
margin	18.9%	21.3%
proprietary		
cash	2,330	2,878
proprietary		
margin	58	0

Foreign

	sales	purchases
institutions	8,798	8,233
individuals	322	458

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.6)	(0.6)	(2.0)	(1.0)	(0.6)	(0.1)	(1.5)	(0.8)
11/27	624	593	2,181	1,042	646	75	1,597	891
balance	-31		-1,139		-571		-706	
total	1,217	(0.6)	3,223	(1.5)	721	(0.3)	2,488	(1.2)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.1)	(0.0)	(0.0)	(0.0)	(1.4)	(0.8)	(0.0)	(0.0)
11/27	80	4	2	0	1,515	883	0	4
balance	-76		-2		-632			4
total	84	(0.0)	2	(0.0)	2,398	(1.1)	4	(0.0)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Volume by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units: 1,000 shares, ratio: % inside ()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(25.1)	(23.4)	(74.9)	(76.6)
11/27	34,367,585	34,387,222	8,636,949	8,032,226	25,730,636	26,354,996
balance		19,637	-604,723			624,360
total	68,754,807	(100.0)	16,669,175	(24.2)	52,085,632	(75.8)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(11.9)	(12.7)	(35.6)	(36.0)	(46.9)	(45.8)	(5.5)	(5.5)
11/27	3,067,894	3,344,171	9,167,893	9,487,445	12,078,070	12,073,063	1,416,779	1,450,317
balance		276,277		319,552	-5,007			33,538
total	6,412,065	(12.3)	18,655,338	(35.8)	24,151,133	(46.4)	2,867,096	(5.5)
balance								
total								

Total trading volume	79,917,480
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Ratio of proprietary brokerage trading

All 58 cos.

	total	proprietary	brokerage
	86.0%	20.9%	65.2%

Individual & proprietary

Transaction	sales	purchases
individual	4,318,375	4,607,371
cash	47.1%	48.6%
individual	4,849,518	4,880,074
margin	52.9%	51.4%
proprietary		
cash	7,364,810	7,999,189
proprietary		
margin	1,272,139	33,037

Foreign

	sales	purchases
institutions	11,952,285	11,955,649
individuals	125,785	117,414

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(2.4)	(2.8)	(2.1)	(1.6)	(0.2)	(0.4)	(7.2)	(7.8)
11/27	621,051	741,635	545,312	426,153	43,444	110,151	1,858,087	2,066,232
balance		120,584	-119,159			66,707		208,145
total	1,362,686	(2.6)	971,465	(1.9)	153,595	(0.3)	3,924,319	(7.5)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.5)	(0.6)	(0.1)	(0.1)	(6.5)	(7.0)	(0.1)	(0.2)
11/27	123,523	145,540	36,987	27,452	1,661,219	1,853,085	36,358	40,155
balance		22,017	-9,535			191,866		3,797
total	269,063	(0.5)	64,439	(0.1)	3,514,304	(6.7)	76,513	(0.1)
balance								
total								

1) Survey results from Trading Participant with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks