

## Trading Value by Investor Type TSE 1st Section

All 57 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	( 100.0 )	( 100.0 )	( 29.3 )	( 27.9 )	( 70.7 )	( 72.1 )
10/30	24,868,928,874	24,861,686,809	7,294,447,305	6,941,270,750	17,574,481,569	17,920,416,059
balance	-7,242,065		-353,176,555			345,934,490
total	49,730,615,683	( 100.0 )	14,235,718,055	( 28.6 )	35,494,897,628	( 71.4 )
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 15.7 )	( 13.8 )	( 26.0 )	( 25.1 )	( 54.5 )	( 57.5 )	( 3.7 )	( 3.7 )
10/30	2,765,516,027	2,467,338,862	4,570,965,326	4,498,262,094	9,586,069,303	10,295,540,041	651,930,913	659,275,062
balance	-298,177,165		-72,703,232			709,470,738		7,344,149
total	5,232,854,889	( 14.7 )	9,069,227,420	( 25.6 )	19,881,609,344	( 56.0 )	1,311,205,975	( 3.7 )
balance								
total								

Total trading value	57,486,192,354
---------------------	----------------

Ratio of proprietary brokerage trading  
All 57 cos.

	total	proprietary	brokerage
	86.5%	24.8%	61.7%

Individual & proprietary

Transaction	sales	purchases
individual	1,915,206,844	1,777,718,370
cash	41.9%	39.5%
individual	2,655,758,482	2,720,543,724
margin	58.1%	60.5%
proprietary		
cash	6,458,331,212	6,916,584,324
proprietary		
margin	836,116,093	24,686,426

Foreign

	sales	purchases
institutions	9,519,847,314	10,221,752,278
individuals	66,221,989	73,787,763

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 3.0 )	( 3.2 )	( 1.3 )	( 1.1 )	( 0.2 )	( 0.3 )	( 11.3 )	( 9.2 )
10/30	528,799,473	565,741,016	223,431,146	196,094,436	34,068,707	60,157,049	1,979,216,701	1,645,346,361
balance		36,941,543	-27,336,710			26,088,342	-333,870,340	
total	1,094,540,489	( 3.1 )	419,525,582	( 1.2 )	94,225,756	( 0.3 )	3,624,563,062	( 10.2 )
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 0.5 )	( 0.4 )	( 0.3 )	( 0.1 )	( 10.3 )	( 8.5 )	( 0.2 )	( 0.1 )
10/30	93,675,912	76,821,225	44,913,855	19,594,861	1,810,868,848	1,528,922,443	29,758,086	20,007,832
balance	-16,854,687		-25,318,994		-281,946,405		-9,750,254	
total	170,497,137	( 0.5 )	64,508,716	( 0.2 )	3,339,791,291	( 9.4 )	49,765,918	( 0.1 )
balance								
total								

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

## Trading Value by Investor Type TSE 2nd Section

All 57 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	( 100.0 )	( 100.0 )	( 5.3 )	( 4.0 )	( 94.7 )	( 96.0 )
10/30	74,049,559	73,840,366	3,917,023	2,933,219	70,132,536	70,907,147
balance	-209,193		-983,804			774,611
total	147,889,925	( 100.0 )	6,850,242	( 4.6 )	141,039,683	( 95.4 )
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 11.0 )	( 11.1 )	( 65.4 )	( 66.1 )	( 14.4 )	( 13.3 )	( 9.3 )	( 9.5 )
10/30	7,699,360	7,894,039	45,844,896	46,841,782	10,093,073	9,432,822	6,495,207	6,738,504
balance		194,679		996,886	-660,251			243,297
total	15,593,399	( 11.1 )	92,686,678	( 65.7 )	19,525,895	( 13.8 )	13,233,711	( 9.4 )
balance								
total								

Total trading value	160,329,073
---------------------	-------------

Ratio of proprietary brokerage trading  
All 57 cos.

	total	proprietary	brokerage
	92.2%	4.3%	88.0%

Individual & proprietary

Transaction	sales	purchases
individual	32,053,214	30,722,242
cash	69.9%	65.6%
individual	13,791,682	16,119,540
margin	30.1%	34.4%
proprietary		
cash	3,834,301	2,932,912
proprietary		
margin	82,722	307

Foreign

	sales	purchases
institutions	9,743,512	9,108,723
individuals	349,561	324,099

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 3.0 )	( 3.3 )	( 3.9 )	( 2.2 )	( 0.3 )	( 1.7 )	( 3.7 )	( 4.0 )
10/30	2,134,016	2,332,253	2,713,016	1,546,594	235,699	1,178,311	2,616,629	2,836,881
balance		198,237	-1,166,422			942,612		220,252
total	4,466,269	( 3.2 )	4,259,610	( 3.0 )	1,414,010	( 1.0 )	5,453,510	( 3.9 )
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 0.0 )	( 0.1 )	( 0.2 )	( 0.1 )	( 3.4 )	( 3.9 )	( 0.0 )	( 0.0 )
10/30	27,806	42,271	164,041	36,533	2,405,121	2,734,597	19,661	23,480
balance		14,465	-127,508			329,476		3,819
total	70,077	( 0.0 )	200,574	( 0.1 )	5,139,718	( 3.6 )	43,141	( 0.0 )
balance								
total								

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

## Trading Value by Investor Type TSE Mothers

All 57 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	( 100.0 )	( 100.0 )	( 6.2 )	( 5.4 )	( 93.8 )	( 94.6 )
10/30	214,217,228	214,291,701	13,226,801	11,634,397	200,990,427	202,657,304
balance		74,473	-1,592,404			1,666,877
total	428,508,929	( 100.0 )	24,861,198	( 5.8 )	403,647,731	( 94.2 )
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 6.1 )	( 6.4 )	( 65.4 )	( 62.5 )	( 16.1 )	( 18.7 )	( 12.4 )	( 12.3 )
10/30	12,338,308	13,034,693	131,405,913	126,761,737	32,282,697	37,839,296	24,963,509	25,021,578
balance		696,385	-4,644,176			5,556,599		58,069
total	25,373,001	( 6.3 )	258,167,650	( 64.0 )	70,121,993	( 17.4 )	49,985,087	( 12.4 )
balance								
total								

Total trading value	473,871,846
---------------------	-------------

Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	90.4%	5.2%	85.2%

Individual & proprietary

Transaction	sales	purchases
individual	69,497,017	63,440,913
cash	52.9%	50.0%
individual	61,908,896	63,320,824
margin	47.1%	50.0%
proprietary		
cash	12,854,467	11,634,397
proprietary		
margin	372,334	0

Foreign

	sales	purchases
institutions	31,607,820	36,863,527
individuals	674,877	975,769

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 1.9 )	( 2.1 )	( 1.4 )	( 1.1 )	( 0.2 )	( 0.2 )	( 2.8 )	( 3.0 )
10/30	3,726,734	4,303,400	2,731,225	2,221,434	305,019	371,078	5,575,330	6,138,781
balance		576,666	-509,791			66,059		563,451
total	8,030,134	( 2.0 )	4,952,659	( 1.2 )	676,097	( 0.2 )	11,714,111	( 2.9 )
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 0.0 )	( 0.0 )	( 0.0 )	( 0.0 )	( 2.7 )	( 3.0 )	( 0.0 )	( 0.0 )
10/30	29,094	36,038	50,379	1,897	5,455,533	6,034,074	40,324	66,772
balance		6,944	-48,482			578,541		26,448
total	65,132	( 0.0 )	52,276	( 0.0 )	11,489,607	( 2.8 )	107,096	( 0.0 )
balance								
total								

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Copyright 1996-2009 Tokyo Stock Exchange, Inc. All rights reserved.

# Trading Value by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/10 (5-Oct~30-Oct)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside( )

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
10/05~	( 100.0 )	( 100.0 )	( 28.9 )	( 27.5 )	( 71.1 )	( 72.5 )
10/30	25,974,602,565	25,967,393,867	7,503,509,628	7,144,802,878	18,471,092,937	18,822,590,989
balance	-7,208,698		-358,706,750			351,498,052
total	51,941,996,432	( 100.0 )	14,648,312,506	( 28.2 )	37,293,683,926	( 71.8 )
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 15.5 )	( 13.7 )	( 26.7 )	( 25.7 )	( 53.9 )	( 56.8 )	( 3.9 )	( 3.9 )
10/30	2,862,861,307	2,574,065,085	4,935,116,960	4,837,323,946	9,953,101,432	10,683,302,569	720,013,238	727,899,389
balance	-288,796,222		-97,793,014			730,201,137		7,886,151
total	5,436,926,392	( 14.6 )	9,772,440,906	( 26.2 )	20,636,404,001	( 55.3 )	1,447,912,627	( 3.9 )
balance								
total								

Total trading value	59,927,460,727
---------------------	----------------

Ratio of proprietary brokerage trading  
All 58 cos.

	total	proprietary	brokerage
	86.7%	24.4%	62.2%

Individual & proprietary

Transaction	sales	purchases
individual	2,104,846,146	1,945,993,517
cash	42.7%	40.2%
individual	2,830,270,814	2,891,330,429
margin	57.3%	59.8%
proprietary		
cash	6,641,832,903	7,119,812,522
proprietary		
margin	861,676,725	24,990,356

Foreign

	sales	purchases
institutions	9,882,379,893	10,603,457,263
individuals	70,721,539	79,845,306

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 3.0 )	( 3.2 )	( 1.3 )	( 1.1 )	( 0.2 )	( 0.3 )	( 11.0 )	( 9.0 )
10/30	550,209,430	598,266,524	239,337,234	210,693,144	35,641,639	63,949,809	2,037,673,004	1,701,155,608
balance		48,057,094	-28,644,090			28,308,170	-336,517,396	
total	1,148,475,954	( 3.1 )	450,030,378	( 1.2 )	99,591,448	( 0.3 )	3,738,828,612	( 10.0 )
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
10/05~	( 0.5 )	( 0.4 )	( 0.3 )	( 0.1 )	( 10.1 )	( 8.4 )	( 0.2 )	( 0.1 )
10/30	94,374,331	77,366,806	46,664,736	22,035,886	1,866,083,879	1,580,686,641	30,550,058	21,066,275
balance	-17,007,525		-24,628,850		-285,397,238		-9,483,783	
total	171,741,137	( 0.5 )	68,700,622	( 0.2 )	3,446,770,520	( 9.2 )	51,616,333	( 0.1 )
balance								
total								

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks