

Trading Value by Investor Type TSE 1st Section

All 57 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(28.2)	(26.1)	(71.8)	(73.9)
11/27	21,381,392,235	21,382,679,516	6,038,546,090	5,579,179,317	15,342,846,145	15,803,500,199
balance		1,287,281	-459,366,773			460,654,054
total	42,764,071,751	(100.0)	11,617,725,407	(27.2)	31,146,346,344	(72.8)
	()	()	()	()	()	()
balance						
total		()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(13.2)	(15.1)	(24.2)	(23.7)	(59.0)	(57.6)	(3.6)	(3.6)
11/27	2,018,916,054	2,393,308,945	3,710,832,228	3,741,328,865	9,057,945,823	9,101,560,089	555,152,040	567,302,300
balance		374,392,891		30,496,637		43,614,266		12,150,260
total	4,412,224,999	(14.2)	7,452,161,093	(23.9)	18,159,505,912	(58.3)	1,122,454,340	(3.6)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

Total trading value	49,133,043,801
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	87.0%	23.6%	63.4%

Individual & proprietary

Transaction	sales	purchases
individual	1,462,477,569	1,460,653,048
cash	39.4%	39.0%
individual	2,248,354,659	2,280,675,817
margin	60.6%	61.0%
proprietary		
cash	5,202,094,045	5,556,061,905
proprietary		
margin	836,452,045	23,117,412

Foreign

	sales	purchases
institutions	8,998,157,077	9,039,425,425
individuals	59,788,746	62,134,664

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(3.0)	(3.6)	(1.4)	(1.3)	(0.2)	(0.3)	(8.6)	(9.8)
11/27	461,330,482	576,693,589	212,559,707	212,219,992	24,261,386	54,498,283	1,320,764,479	1,549,897,081
balance		115,363,107	-339,715			30,236,897		229,132,602
total	1,038,024,071	(3.3)	424,779,699	(1.4)	78,759,669	(0.3)	2,870,661,560	(9.2)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.6)	(0.7)	(0.1)	(0.1)	(7.7)	(8.8)	(0.2)	(0.2)
11/27	91,634,118	108,059,651	20,135,247	19,954,766	1,183,396,933	1,396,299,290	25,598,181	25,583,374
balance		16,425,533	-180,481			212,902,357		-14,807
total	199,693,769	(0.6)	40,090,013	(0.1)	2,579,696,223	(8.3)	51,181,555	(0.2)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Value by Investor Type TSE 2nd Section

All 57 cos.

2009/11 (2~Nov~27~Nov)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(3.6)	(3.4)	(96.4)	(96.6)
11/27	110,475,745	110,712,232	3,985,755	3,714,259	106,489,990	106,997,973
balance		236,487	-271,496			507,983
total	221,187,977	(100.0)	7,700,014	(3.5)	213,487,963	(96.5)
	()	()	()	()	()	()
balance						
total	()	()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(8.1)	(7.8)	(69.4)	(69.3)	(12.1)	(12.1)	(10.4)	(10.8)
11/27	8,610,752	8,345,965	73,868,107	74,125,000	12,914,581	12,992,324	11,096,550	11,534,684
balance	-264,787			256,893		77,743		438,134
total	16,956,717	(7.9)	147,993,107	(69.3)	25,906,905	(12.1)	22,631,234	(10.6)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

Total trading value	250,080,275
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	88.4%	3.1%	85.4%

Individual & proprietary

Transaction	sales	purchases
individual	53,019,114	52,405,968
cash	71.8%	70.7%
individual	20,848,993	21,719,032
margin	28.2%	29.3%
proprietary		
cash	3,925,785	3,714,144
proprietary		
margin	59,970	115

Foreign

	sales	purchases
institutions	12,521,102	12,433,067
individuals	393,479	559,257

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(1.9)	(1.4)	(3.3)	(3.1)	(0.1)	(1.1)	(2.8)	(2.2)
11/27	2,048,655	1,467,207	3,479,705	3,367,881	142,628	1,207,618	2,939,764	2,303,259
balance	-581,448		-111,824			1,064,990	-636,505	
total	3,515,862	(1.6)	6,847,586	(3.2)	1,350,246	(0.6)	5,243,023	(2.5)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.0)	(0.0)	(0.1)	(0.0)	(2.6)	(2.1)	(0.0)	(0.1)
11/27	33,985	42,872	87,465	4,015	2,794,286	2,200,645	24,028	55,727
balance		8,887	-83,450		-593,641			31,699
total	76,857	(0.0)	91,480	(0.0)	4,994,931	(2.3)	79,755	(0.0)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Value by Investor Type TSE Mothers

All 57 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(6.9)	(5.8)	(93.1)	(94.2)
11/27	257,385,133	257,115,967	17,775,883	14,909,550	239,609,250	242,206,417
balance	-269,166		-2,866,333			2,597,167
total	514,501,100	(100.0)	32,685,433	(6.4)	481,815,667	(93.6)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(7.9)	(7.0)	(57.8)	(59.3)	(21.9)	(21.3)	(12.4)	(12.4)
11/27	18,869,044	16,869,675	138,488,729	143,612,303	52,499,823	51,710,127	29,751,654	30,014,312
balance	-1,999,369			5,123,574	-789,696			262,658
total	35,738,719	(7.4)	282,101,032	(58.5)	104,209,950	(21.6)	59,765,966	(12.4)
balance								
total								

Total trading value	575,210,475
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	89.4%	5.7%	83.8%

Individual & proprietary

Transaction	sales	purchases
individual	68,861,009	72,539,451
cash	49.7%	50.5%
individual	69,627,720	71,072,852
margin	50.3%	49.5%
proprietary		
cash	17,038,954	14,909,550
proprietary		
margin	736,929	0

Foreign

	sales	purchases
institutions	51,316,553	50,563,870
individuals	1,183,270	1,146,257

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(2.5)	(2.2)	(1.4)	(1.1)	(0.3)	(0.1)	(3.7)	(3.5)
11/27	5,929,472	5,408,087	3,459,005	2,569,826	651,478	336,916	8,829,089	8,554,846
balance	-521,385		-889,179		-314,562		-274,243	
total	11,337,559	(2.4)	6,028,831	(1.3)	988,394	(0.2)	17,383,935	(3.6)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.1)	(0.2)	(0.0)	(0.0)	(3.6)	(3.3)	(0.0)	(0.0)
11/27	216,037	559,618	10,130	3,905	8,581,515	7,981,737	21,407	9,586
balance		343,581	-6,225		-599,778		-11,821	
total	775,655	(0.2)	14,035	(0.0)	16,563,252	(3.4)	30,993	(0.0)
balance								
total								

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Value by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/11 (2-Nov~27-Nov)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/02~	(100.0)	(100.0)	(27.7)	(25.6)	(72.3)	(74.4)
11/27	22,429,538,354	22,429,329,144	6,203,541,780	5,747,426,075	16,225,996,574	16,681,903,069
balance	-209,210		-456,115,705			455,906,495
total	44,858,867,498	(100.0)	11,950,967,855	(26.6)	32,907,899,643	(73.4)
	()	()	()	()	()	()
balance						
total		()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(13.1)	(15.0)	(25.1)	(24.6)	(58.0)	(56.6)	(3.9)	(3.8)
11/27	2,119,733,153	2,494,133,277	4,068,572,236	4,108,397,645	9,410,868,402	9,438,142,396	626,822,783	641,229,751
balance		374,400,124		39,825,409		27,273,994		14,406,968
total	4,613,866,430	(14.0)	8,176,969,881	(24.8)	18,849,010,798	(57.3)	1,268,052,534	(3.9)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

Total trading value	51,433,152,575
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Ratio of proprietary brokerage trading

All 58 cos.

	total	proprietary	brokerage
	87.2%	23.2%	64.0%

Individual & proprietary

Transaction	sales	purchases
individual	1,655,309,762	1,653,765,842
cash	40.7%	40.3%
individual	2,413,262,474	2,454,631,803
margin	59.3%	59.7%
proprietary		
cash	5,343,513,538	5,724,200,334
proprietary		
margin	860,028,242	23,225,741

Foreign

	sales	purchases
institutions	9,347,420,289	9,371,003,098
individuals	63,448,113	67,139,298

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(3.0)	(3.6)	(1.4)	(1.4)	(0.2)	(0.3)	(8.5)	(9.6)
11/27	487,476,987	603,401,745	230,667,079	237,438,453	25,493,190	57,728,158	1,376,095,897	1,595,564,921
balance		115,924,758		6,771,374		32,234,968		219,469,024
total	1,090,878,732	(3.3)	468,105,532	(1.4)	83,221,348	(0.3)	2,971,660,818	(9.0)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/02~	(0.6)	(0.7)	(0.2)	(0.1)	(7.6)	(8.6)	(0.2)	(0.2)
11/27	92,555,443	109,346,456	26,245,905	20,568,357	1,231,414,633	1,439,202,107	25,879,916	26,448,001
balance		16,791,013	-5,677,548			207,787,474		568,085
total	201,901,899	(0.6)	46,814,262	(0.1)	2,670,616,740	(8.1)	52,327,917	(0.2)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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