

Trading Value by Investor Type TSE 1st Section

All 57 cos.

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/30~	(100.0)	(100.0)	(27.1)	(27.9)	(72.9)	(72.1)
12/30	27,487,204,667	27,553,037,307	7,446,947,386	7,691,795,372	20,040,257,281	19,861,241,935
balance		65,832,640		244,847,986	-179,015,346	
total	55,040,241,974	(100.0)	15,138,742,758	(27.5)	39,901,499,216	(72.5)
	()	()	()	()	()	()
balance						
total		()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(14.4)	(13.2)	(29.0)	(23.5)	(53.3)	(60.1)	(3.4)	(3.1)
12/30	2,879,782,496	2,620,117,668	5,811,567,045	4,676,540,591	10,674,831,030	11,943,902,919	674,076,710	620,680,757
balance	-259,664,828		-1,135,026,454			1,269,071,889	-53,395,953	
total	5,499,900,164	(13.8)	10,488,107,636	(26.3)	22,618,733,949	(56.7)	1,294,757,467	(3.2)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

Total trading value	63,144,363,862
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Ratio of proprietary brokerage trading
All 57 cos.

	total	proprietary	brokerage
	87.2%	24.0%	63.2%

Individual & proprietary

Transaction	sales	purchases
individual	2,796,105,363	1,877,496,691
cash	48.1%	40.1%
individual	3,015,461,682	2,799,043,900
margin	51.9%	59.9%
proprietary		
cash	6,554,245,787	7,662,773,757
proprietary		
margin	892,701,599	29,021,615

Foreign

	sales	purchases
institutions	10,593,341,621	11,856,918,912
individuals	81,489,409	86,984,007

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(3.0)	(3.2)	(2.2)	(1.4)	(0.2)	(0.7)	(9.0)	(7.9)
12/30	591,719,009	628,761,336	443,786,680	272,513,291	50,019,002	148,495,966	1,794,257,805	1,570,347,075
balance		37,042,327	-171,273,389			98,476,964	-223,910,730	
total	1,220,480,345	(3.1)	716,299,971	(1.8)	198,514,968	(0.5)	3,364,604,880	(8.4)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(0.6)	(0.3)	(0.4)	(0.1)	(7.7)	(7.4)	(0.2)	(0.1)
12/30	117,634,380	63,629,061	89,933,589	22,715,326	1,536,903,087	1,471,522,388	49,786,749	12,480,300
balance	-54,005,319		-67,218,263		-65,380,699		-37,306,449	
total	181,263,441	(0.5)	112,648,915	(0.3)	3,008,425,475	(7.5)	62,267,049	(0.2)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Value by Investor Type TSE 2nd Section

All 57 cos.

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/30~	(100.0)	(100.0)	(4.1)	(4.7)	(95.9)	(95.3)
12/30	103,051,299	103,435,535	4,182,897	4,854,929	98,868,402	98,580,606
balance		384,236		672,032	-287,796	
total	206,486,834	(100.0)	9,037,826	(4.4)	197,449,008	(95.6)
	()	()	()	()	()	()
balance						
total	()	()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(12.3)	(10.3)	(65.1)	(67.5)	(13.2)	(12.0)	(9.5)	(10.3)
12/30	12,125,774	10,135,945	64,323,962	66,544,309	13,018,369	11,783,358	9,400,297	10,116,994
balance	-1,989,829			2,220,347	-1,235,011			716,697
total	22,261,719	(11.3)	130,868,271	(66.3)	24,801,727	(12.6)	19,517,291	(9.9)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

Total trading value	226,008,606
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	91.4%	4.0%	87.4%

Individual & proprietary

Transaction	sales	purchases
individual	44,736,186	43,889,709
cash	69.5%	66.0%
individual	19,587,776	22,654,600
margin	30.5%	34.0%
proprietary		
cash	3,909,174	4,854,929
proprietary		
margin	273,723	0

Foreign

	sales	purchases
institutions	12,521,246	11,250,454
individuals	497,123	532,904

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(2.0)	(1.1)	(4.0)	(4.4)	(0.3)	(2.9)	(5.9)	(1.9)
12/30	2,021,705	1,081,194	3,984,055	4,348,214	251,691	2,817,874	5,868,323	1,888,663
balance	-940,511			364,159		2,566,183	-3,979,660	
total	3,102,899	(1.6)	8,332,269	(4.2)	3,069,565	(1.6)	7,756,986	(3.9)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(0.2)	(0.0)	(1.8)	(0.1)	(3.9)	(1.8)	(0.0)	(0.0)
12/30	205,400	18,962	1,811,598	77,962	3,830,980	1,777,258	20,345	14,481
balance	-186,438		-1,733,636		-2,053,722		-5,864	
total	224,362	(0.1)	1,889,560	(1.0)	5,608,238	(2.8)	34,826	(0.0)
	()	()	()	()	()	()	()	()
balance								
total	()	()	()	()	()	()	()	()

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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Trading Value by Investor Type TSE Mothers

All 57 cos.

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/30~	(100.0)	(100.0)	(8.6)	(8.1)	(91.4)	(91.9)
12/30	533,709,252	533,545,053	45,927,625	43,160,675	487,781,627	490,384,378
balance	-164,199		-2,766,950			2,602,751
total	1,067,254,305	(100.0)	89,088,300	(8.3)	978,166,005	(91.7)
balance						
total						

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(7.5)	(10.6)	(64.6)	(61.7)	(14.6)	(14.3)	(13.3)	(13.4)
12/30	36,340,686	52,101,579	315,306,437	302,519,053	71,178,272	70,055,069	64,956,232	65,708,677
balance		15,760,893	-12,787,384		-1,123,203			752,445
total	88,442,265	(9.0)	617,825,490	(63.2)	141,233,341	(14.4)	130,664,909	(13.4)
balance								
total								

Total trading value	1,271,101,958
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Ratio of proprietary brokerage trading

All 57 cos.

	total	proprietary	brokerage
	84.0%	7.0%	77.0%

Individual & proprietary

Transaction	sales	purchases
individual	151,374,273	130,051,487
cash	48.0%	43.0%
individual	163,932,164	172,467,566
margin	52.0%	57.0%
proprietary		
cash	43,215,530	43,160,675
proprietary		
margin	2,712,095	0

Foreign

	sales	purchases
institutions	68,295,910	67,257,714
individuals	2,882,362	2,797,355

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(2.4)	(4.2)	(2.0)	(1.7)	(0.1)	(0.1)	(2.9)	(4.6)
12/30	11,948,468	20,577,385	9,829,682	8,095,599	610,276	636,012	13,952,260	22,792,583
balance		8,628,917	-1,734,083			25,736		8,840,323
total	32,525,853	(3.3)	17,925,281	(1.8)	1,246,288	(0.1)	36,744,843	(3.8)
balance								
total								

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(0.2)	(0.0)	(0.0)	(0.0)	(2.6)	(4.5)	(0.0)	(0.1)
12/30	995,628	74,449	75,862	607	12,790,187	22,150,762	90,583	566,765
balance	-921,179		-75,255			9,360,575		476,182
total	1,070,077	(0.1)	76,469	(0.0)	34,940,949	(3.6)	657,348	(0.1)
balance								
total								

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

Trading Value by Investor Type Tokyo, Osaka & Nagoya

All 58 cos.

2009/12 (30-Nov~30-Dec)

Tokyo Stock Exchange, Inc.

Units:1,000 yen, ratio:% inside()

	total		proprietary		brokerage	
	sales	purchases	sales	purchases	sales	purchases
11/30~	(100.0)	(100.0)	(26.5)	(27.3)	(73.5)	(72.7)
12/30	28,959,338,303	29,025,023,913	7,673,815,440	7,915,506,780	21,285,522,863	21,109,517,133
balance		65,685,610		241,691,340	-176,005,730	
total	57,984,362,216	(100.0)	15,589,322,220	(26.9)	42,395,039,996	(73.1)
	()	()	()	()	()	()
balance						
total		()	()	()	()	()

	Brokerage Trading							
	institutions		individuals		foreigners		securities cos.	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(14.2)	(13.1)	(30.1)	(24.8)	(52.0)	(58.6)	(3.7)	(3.5)
12/30	3,020,868,615	2,771,165,169	6,414,563,449	5,239,103,737	11,063,176,605	12,365,279,412	786,914,194	733,968,815
balance	-249,703,446		-1,175,459,712			1,302,102,807	-52,945,379	
total	5,792,033,784	(13.7)	11,653,667,186	(27.5)	23,428,456,017	(55.3)	1,520,883,009	(3.6)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

Total trading value	66,455,094,678
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Ratio of proprietary brokerage trading
All 58 cos.

	total	proprietary	brokerage
	87.3%	23.5%	63.8%

Individual & proprietary

Transaction	sales	purchases
individual	3,110,465,898	2,142,437,102
cash	48.5%	40.9%
individual	3,304,097,551	3,096,666,635
margin	51.5%	59.1%
proprietary		
cash	6,754,802,671	7,886,254,936
proprietary		
margin	919,012,769	29,251,844

Foreign

	sales	purchases
institutions	10,975,232,739	12,271,495,893
individuals	87,943,866	93,783,519

	Institutions							
	investment trusts		business companies		others		financial institutions	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(3.0)	(3.2)	(2.2)	(1.4)	(0.2)	(0.7)	(8.8)	(7.8)
12/30	631,574,946	669,850,915	472,406,933	296,754,243	52,269,181	156,406,074	1,864,617,555	1,648,153,937
balance		38,275,969	-175,652,690			104,136,893	-216,463,618	
total	1,301,425,861	(3.1)	769,161,176	(1.8)	208,675,255	(0.5)	3,512,771,492	(8.3)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

	Financial institutions							
	life & non-life		city & regional banks		trust banks		others	
	sales	purchases	sales	purchases	sales	purchases	sales	purchases
11/30~	(0.6)	(0.3)	(0.4)	(0.1)	(7.5)	(7.3)	(0.2)	(0.1)
12/30	120,524,539	64,285,999	95,200,977	23,895,576	1,596,408,695	1,546,303,249	52,483,344	13,669,113
balance	-56,238,540		-71,305,401		-50,105,446		-38,814,231	
total	184,810,538	(0.4)	119,096,553	(0.3)	3,142,711,944	(7.4)	66,152,457	(0.2)
	()	()	()	()	()	()	()	()
balance								
total		()	()	()	()	()	()	()

1) Survey results from Trading Participants with capital of over 3 billion yen.

2) Includes off hour trading.

3) - denotes net sales.

4) Not Include Foreign Stocks

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